

CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

2

65

CONSTRUCTION ON STATE HIGHWAY IN
SHASTA COUNTY AT SHASTA FROM 0.1 MILE WEST OF FRENCH ALLEY TO RED BLUFF ROAD
In District 02 on Route 299

UNDER

Notice to Bidders and Special Provisions dated September 15, 2014
Project plans approved June 26, 2014
Standard Specifications dated 2010
Standard Plans dated 2010

Identified by

Contract No. 02-4G4804

02-Sha-299-18.4/18.7

Project ID 0214000003

FEDERAL-AID PROJECT
ACNHP-P299(186)E

Bids open Wednesday, October 29, 2014

Dated September 15, 2014

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name: RTA Construction, Inc.

Bidder ID: VC1500001063

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Name of Bidder RTA Construction, Inc.
Business Street Address 9614 Tanqueray Court
(Include even if P.O. Box is used)
Business P.O. Box
City, State, Zip Redding, CA, 96003
Telephone No: AREA CODE 5302231100
Fax No: AREA CODE 5302236320
Contractor License No. 862067
Checksum AE8E999F

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda: 1
10-13-14 Revise Project Plans, Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
 - 4.1 Criminal prosecution
 - 4.2 Rejection of the bid
 - 4.3 Rescission of the award
 - 4.4 Termination of the Contract

PRINTED NAME: Mark Trawick AND
TITLE OF PERSON SIGNING: V.P./General Manager

BY (Authorized signature)

DATE SIGNED (Do not type)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: RTA Construction, Inc.

Bidder ID: VC1500001063

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	3,600.00
2	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	5,300.00
3	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	26,000.00
4	120110	FLASHING ARROW SIGN	2.0 EA	3,200.00	6,400.00
5	120120	TYPE III BARRICADE	8.0 EA	200.00	1,600.00
6	120151	TEMPORARY TRAFFIC STRIPE (TAPE)	4,090.0 LF	2.00	8,180.00
7	120152	TEMPORARY PAVEMENT MARKING (TAPE)	60.0 SQFT	16.00	960.00
8	120165	CHANNELIZER (SURFACE MOUNTED)	65.0 EA	69.00	4,485.00
9	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	9,000.00
10	129000	TEMPORARY RAILING (TYPE K)	260.0 LF	100.00	26,000.00
11	129100	TEMPORARY CRASH CUSHION MODULE	11.0 EA	800.00	8,800.00
12	129110	TEMPORARY CRASH CUSHION	1.0 EA	6,200.00	6,200.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	3,500.00
14	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM LS	LUMP SUM	1,000.00
15	130620	TEMPORARY DRAINAGE INLET PROTECTION	13.0 EA	145.00	1,885.00
16	130640	TEMPORARY FIBER ROLL	180.0 LF	9.00	1,620.00
17	130680	TEMPORARY SILT FENCE	200.0 LF	10.00	2,000.00
18	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	16,000.00
19	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	8,000.00
20	141000	TEMPORARY FENCE (TYPE ESA)	290.0 LF	6.00	1,740.00
21	141001	HEALTH AND SAFETY PLAN	LUMP SUM LS	LUMP SUM	2,000.00
22	141120	TREATED WOOD WASTE	70.0 LB	8.00	560.00
23	027894	EXCAVATION, SAMPLING, AND TRANSPORTATION PLAN	LUMP SUM LS	LUMP SUM	4,800.00
24	150605	REMOVE FENCE	37.0 LF	30.00	1,110.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	027895	REMOVE THERMOPLASTIC TRAFFIC STRIPE (HIGH PRESSURE BLASTING)	4,340.0 LF	3.00	13,020.00
26	027896	REMOVE PAINTED PAVEMENT MARKING (HIGH PRESSURE BLASTING)	310.0 SQFT	8.00	2,480.00
27	150722	REMOVE PAVEMENT MARKER	130.0 EA	6.00	780.00
28	150742	REMOVE ROADSIDE SIGN	9.0 EA	125.00	1,125.00
29	150809	REMOVE CULVERT (LF)	37.0 LF	24.00	888.00
30	152392	RELOCATE ROADSIDE SIGN (WOOD POST)	3.0 EA	300.00	900.00
31	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	530.0 SQYD	13.00	6,890.00
32	153121	REMOVE CONCRETE (CY)	1.0 CY	1,000.00	1,000.00
33	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	8,000.00
34	027897	ROADWAY EXCAVATION (TYPE H)	20.0 CY	160.00	3,200.00
35	190101	ROADWAY EXCAVATION	360.0 CY	17.00	6,120.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name: RTA Construction, Inc.

Bidder ID: VC1500001063

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
36(F)	192003	STRUCTURE EXCAVATION (BRIDGE)	2.0 CY	300.00	600.00
37(F)	192037	STRUCTURE EXCAVATION (RETAINING WALL)	1,073.0 CY	26.00	27,898.00
38(F)	193003	STRUCTURE BACKFILL (BRIDGE)	2.0 CY	400.00	800.00
39(F)	193013	STRUCTURE BACKFILL (RETAINING WALL)	452.0 CY	70.00	31,640.00
40	200114	ROCK BLANKET	73.0 SQYD	265.00	19,345.00
41	206559	CONTROL AND NEUTRAL CONDUCTORS (ARMOR-CLAD)	LUMP SUM LS	LUMP SUM	1,000.00
42	206920	BATTERY POWERED IRRIGATION CONTROLLER	1.0 EA	1,700.00	1,700.00
43	208002	3/4" GALVANIZED STEEL PIPE (SUPPLY LINE)	2.0 LF	100.00	200.00
44	027898	WATER METER	1.0 EA	1,700.00	1,700.00
45	208422	3/4" BACKFLOW PREVENTER ASSEMBLY	1.0 EA	2,800.00	2,800.00
46	208450	DRIP VALVE ASSEMBLY	6.0 EA	600.00	3,600.00
47	027899	PLANT POCKET ASSEMBLY	19.0 EA	90.00	1,710.00

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
48	208593	1/2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	140.0 LF	9.00	1,260.00
49	208594	3/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	310.0 LF	6.00	1,860.00
50	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	190.0 SQFT	5.00	950.00
51	210300	HYDROMULCH	7,890.0 SQFT	0.20	1,578.00
52	210430	HYDROSEED	7,890.0 SQFT	0.30	2,367.00
53	210600	COMPOST	7,890.0 SQFT	0.50	3,945.00
54	260203	CLASS 2 AGGREGATE BASE (CY)	160.0 CY	110.00	17,600.00
55	390132	HOT MIX ASPHALT (TYPE A)	130.0 TON	245.00	31,850.00
56	397005	TACK COAT	0.4 TON	1,900.00	760.00
57	460200	GROUND ANCHOR (VERTICAL)	26.0 EA	4,600.00	119,600.00
58 (F)	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING	1.0 CY	2,800.00	2,800.00
59 (F)	510053	STRUCTURAL CONCRETE, BRIDGE	4.0 CY	4,000.00	16,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60 (F)	510060	STRUCTURAL CONCRETE, RETAINING WALL	472.0 CY	900.00	424,800.00
61 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	14.2 CY	1,200.00	17,040.00
62 (F)	044729	CONCRETE RELIEF MOTIF	180.0 SQFT	200.00	36,000.00
63 (F)	044730	WOOD PLANK TEXTURE	88.0 SQFT	80.00	7,040.00
64 (F)	520102	BAR REINFORCING STEEL (BRIDGE)	800.0 LB	4.00	3,200.00
65 (F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	59,740.0 LB	1.45	86,623.00
66	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	52.0 SQFT	22.00	1,144.00
67	566011	ROADSIDE SIGN - ONE POST	8.0 EA	400.00	3,200.00
68	027900	STAIN GALVANIZED SURFACES	LUMP SUM LS	LUMP SUM	3,200.00
69	597601	PREPARE AND STAIN CONCRETE	763.0 SQFT	13.00	9,919.00
70	044731	PREPARE AND STAIN CONCRETE (CONCRETE RELIEF MOTIF)	180.0 SQFT	57.00	10,260.00
71 (F)	598001	ANTI-GRAFFITI COATING	4,025.0 SQFT	3.00	12,075.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
72	620800	CONCRETE BACKFILL (PIPE TRENCH)	44.0 CY	170.00	7,480.00
73	641107	18" PLASTIC PIPE	590.0 LF	30.00	17,700.00
74	665005	8" CORRUGATED STEEL PIPE (.064" THICK)	11.0 LF	80.00	880.00
75	665012	12" CORRUGATED STEEL PIPE (.079" THICK)	91.0 LF	34.00	3,094.00
76	700617	DRAINAGE INLET MARKER	9.0 EA	100.00	900.00
77	707225	48" PRECAST CONCRETE PIPE MANHOLE	3.6 LF	900.00	3,240.00
78	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	70.0 SQYD	20.00	1,400.00
79	027901	MINOR CONCRETE (CURB) (LF) (COLORED CONCRETE)	40.0 LF	125.00	5,000.00
80	730070	DETECTABLE WARNING SURFACE	120.0 SQFT	90.00	10,800.00
81	027902	MINOR CONCRETE (CURB AND GUTTER) (COLORED CONCRETE)	53.0 CY	560.00	29,680.00
82	027903	MINOR CONCRETE (DECOMPOSED GRANITE TEXTURE)	33.0 CY	670.00	22,110.00
83	731530	MINOR CONCRETE (TEXTURED PAVING)	4,760.0 SQFT	15.00	71,400.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
84	027904	MINOR CONCRETE (TEXTURED PAVING) SAMPLES	LUMP SUM LS	LUMP SUM	5,500.00
85 (F)	750001	MISCELLANEOUS IRON AND STEEL	2,752.0 LB	4.00	11,008.00
86	027905	DECORATIVE PLATE	LUMP SUM LS	LUMP SUM	6,000.00
87	750010	MANHOLE FRAME AND COVER	1.0 EA	1,500.00	1,500.00
88 (F)	044732	ARCHITECTURAL TREATMENT (VENEERS)	3,655.0 SQFT	73.50	268,642.50
89	800320	CHAIN LINK FENCE (TYPE CL-4)	31.0 LF	50.00	1,550.00
90	802100	4' CHAIN LINK GATE (TYPE CL-4)	1.0 EA	1,000.00	1,000.00
91	820112	MARKER (CULVERT)	2.0 EA	300.00	600.00
92	027906	MARKER (CULVERT) (SURFACE MOUNTED)	2.0 EA	250.00	500.00
93 (F)	044733	TUBULAR PEDESTRIAN RAILING	25.0 LF	380.00	9,500.00
94 (F)	044734	ORNAMENTAL RAILING	232.0 LF	450.00	104,400.00
95	840515	THERMOPLASTIC PAVEMENT MARKING	410.0 SQFT	8.00	3,280.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name: RTA Construction, Inc.

Bidder ID: VC1500001063

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
96	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	4,510.0 LF	2.00	9,020.00
97	850122	PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)	130.0 EA	23.00	2,990.00
98	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	20,000.00
Total Bid					\$1,722,381.50

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name:RTA Construction, Inc.

Bidder ID:VC1500001063

SUBCONTRACTOR LIST

DES-OE-0102.2B(REV 5/2014)

Bidding Firm: RTA Construction, Inc.

Under Public Contract Code ♦ 4100 et seq., the Bidder must set forth in the bid the name, the location of the place of business, the California contractor license number, and the portion of work of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars (\$10,000), whichever is greater.

The Bidder must submit within 24 hours of the bid opening the bid item numbers with percentages of the portion of work subcontracted.

a Not required on contracts with Federal-Aid.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name:RTA Construction, Inc.

Bidder ID:VC1500001063

List this sub? Yes

2) Business NameApex Fence Co., Inc.

Location CityAnderson StateCA

California Contractor License Number (a) 269127

Description of Portion of Work

Ornamental Railing

Bid Item Numbers

94

Percentage of Bid Item Subcontracted

95

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name:RTA Construction, Inc.

Bidder ID:VC1500001063

List this sub? Yes

4) Business NameCNJ Enterprises, Inc.
Location CityScottsdale StateAZ
California Contractor License Number (a) 967058
Description of Portion of Work

Anti-Graffiti Coating

Bid Item Numbers

71

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

5) Business NameDarren Taylor Construction, Inc.
Location CityAnderson StateCA
California Contractor License Number (a) 903262
Description of Portion of Work

Asphalt

Bid Item Numbers

31

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

55

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

56

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name: RTA Construction, Inc.

Bidder ID: VC1500001063

List this sub? Yes

6) Business Name M&S Environmental Landscapes, Inc.

Location City Redding State CA

California Contractor License Number (a) 751931

Description of Portion of Work

Landscaping

Bid Item Numbers

41

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

42

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

43

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

46

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

47, 48, 49, 50, 51, 52, 53

Percentage of Bid Item Subcontracted

98, 98, 98, 98, 98, 98, 98

List this sub? Yes

7) Business Name Apply-A-Line, Inc.

Location City Anderson State CA

California Contractor License Number (a) 562762

Description of Portion of Work

Striping

Bid Item Numbers

1

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

6

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

7

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

8

Percentage of Bid Item Subcontracted

98

Bid Item Numbers

25, 26, 27, 95, 96, 97, 98

Percentage of Bid Item Subcontracted

98, 98, 98, 98, 98, 98, 98

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name:RTA Construction, Inc.

Bidder ID:VC1500001063

List this sub? Yes

8) Business NameNorth Coast Steel

Location CityWindsor StateCA

California Contractor License Number (a) 913566

Description of Portion of Work

Reinforcing Steel

Bid Item Numbers

64

Percentage of Bid Item Subcontracted

95

Bid Item Numbers

65

Percentage of Bid Item Subcontracted

95

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name:RTA Construction, Inc.

Bidder ID:VC1500001063

List this sub? Yes

10) Business NameSJR Masonry & Construction

Location CityMcKinleyville StateCA

California Contractor License Number (a) 417248

Description of Portion of Work

Masonry

Bid Item Numbers

88

Percentage of Bid Item Subcontracted

95

Bid Item Numbers

Percentage of Bid Item Subcontracted

List this sub? Yes

11) Business Name Drill Tech Drilling & Shoring, Inc.

Location City Antioch State CA

California Contractor License Number (a) 745354

Description of Portion of Work

Ground Anchors

Bid Item Numbers

98

Percentage of Bid Item Subcontracted

20

Bid Item Numbers

57

Percentage of Bid Item Subcontracted

95

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

Bid Item Numbers

Percentage of Bid Item Subcontracted

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: _____

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :1186641

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name: RTA Construction, Inc.

Bidder ID: VC1500001063

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4G4804 Project ID: 0214000003

Bidder Name: RTA Construction, Inc.

Bidder ID: VC1500001063

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: _____

Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder RTA Construction, Inc., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Shasta

Name Mark Trawick,

being first duly sworn, deposes and says that he or she is

V.P./General Manager of

RTA Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

- 4.1. Date of each nonresponsibility determination
- 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
- 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. _____

We _____

_____ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN SHASTA COUNTY AT SHASTA FROM 0.1 MILE WEST OF FRENCH ALLEY TO RED BLUFF ROAD In District 02 on Route 299 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 10-29-14.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: _____, 20____.

Principal _____

Surety _____

By _____

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)



State of California, Department of Transportation - Chris Rice

Bond Number: SCA14909744

Contractor Information

Principal: RTA Construction Inc. 530-223-1100

Address: 9614 Tanqueray Court Redding California 96003 United States

Contractor's State Vendor ID Number: VC1500001063

Owner/Obligee Information

Bond Form: Bid Bond in accordance with Contract Specifications

Owner / Obligee: State of California, Department of Transportation

Address: 1727 30th Street, Bidders' Exchange, MS 26 Sacramento California 95816 United States

Bond Information

Surety: Fidelity And Deposit Company of Maryland

Rider Present: [Click here to view](#)

Amount of Bid Security: 10% of Amount Bid

Contract ID Number: 02-4G4804

Description of Job: Retaining wall, colored and textured sidewalk, colored curb and gutter In Shasta County At Shasta From 0.1 Mile West Of French Alley To Red Bluff Road

[View AMBest Information](#) [View Surety Profile](#) [Treasury List](#)

Primary Agency:

InterWest Insurance Services, Inc.

Power of Attorney Limited to: unlimited

Executed

Executed By:

Elizabeth Collodi - 10/8/2014 1:32:31 PM ET

Phone: 530-897-3154

Email: lcollodi@lwins.com

Know all men by these presents that Fidelity And Deposit Company of Maryland, a Corporation duly organized under the laws of the State of Maryland, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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