

CALTRANS 2  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

CONSTRUCTION ON STATE HIGHWAY IN  
SISKIYOU COUNTY NEAR SEIAD VALLEY AT 3.5 MILES EAST OF THOMPSON CREEK BRIDGE  
In District 02 on Route 96

UNDER

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Notice to Bidders and Special Provisions dated January 6, 2014  
Project plans approved October 25, 2013  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 02-4E6304

02-Sis-96-56.0

Project ID 0212000010

FEDERAL-AID PROJECT  
SHRP2-P096(053)

Bids open Wednesday, January 29, 2014

Dated January 6, 2014

AADD

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304 Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.  
Contract No.:  
Name of Bidder: Hayes and Sons Inc.  
Business P.O. Box:  
City, State, Zip: Happy Camp, CA., 96039  
Business Street Address: Po Box 258  
Telephone Number: 530-493-2679  
Fax Number: 530-493-2922  
Contractor License Number:515569

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STATE OF CALIFORNIA ♦ DEPARTMENT OF TRANSPORTATION  
BID TO THE DEPARTMENT OF TRANSPORTATION

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1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
01-16-14 Revise Federal Wage Rate
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

By:James A Hayes Title:Project Manager  
Bidder ID VC0000014853 Checksum C18704A4



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Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price		Item Total	
					Dollars	Cts	Dollars	Cts
Section 1 BID ITEM LIST								
1	070030	LEAD COMPLIANCE PLAN		LUMP SUM		LUMP SUM		1,600.00
2	120090	CONSTRUCTION AREA SIGNS		LUMP SUM		LUMP SUM		7,100.00
3	120100	TRAFFIC CONTROL SYSTEM		LUMP SUM		LUMP SUM		35,000.00
4	120149	TEMPORARY PAVEMENT MARKING (PAINT)		22.0		23.00		506.00
				SQFT				
5	120159	TEMPORARY TRAFFIC STRIPE (PAINT)		900.0		3.25		2,925.00
				LF				
6	120165	CHANNELIZER (SURFACE MOUNTED)		27.0		50.00		1,350.00
				EA				
7	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)		LUMP SUM		LUMP SUM		12,000.00
8	026811	TEMPORARY FLASHING BEACON SYSTEM		LUMP SUM		LUMP SUM		67,817.00
9	129000	TEMPORARY RAILING (TYPE K)		360.0		65.00		23,400.00
				LF				
10	129100	TEMPORARY CRASH CUSHION MODULE		66.0		200.00		13,200.00
				EA				

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					Dollars	Cts	Dollars	Cts
11	130100	JOB SITE MANAGEMENT		LUMP SUM		LUMP SUM		3,000.00
12	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN		LUMP SUM		LUMP SUM		2,000.00
13	130310	RAIN EVENT ACTION PLAN	EA	10.0		500.00		5,000.00
14	130320	STORM WATER SAMPLING AND ANALYSIS DAY	EA	14.0		600.00		8,400.00
15	130330	STORM WATER ANNUAL REPORT	EA	2.0		2,000.00		4,000.00
16	026812	TEMPORARY CLEAR WATER DIVERSION		LUMP SUM		LUMP SUM		15,000.00
17	130610	TEMPORARY CHECK DAM	LF	100.0		25.00		2,500.00
18	130640	TEMPORARY FIBER ROLL	LF	250.0		12.00		3,000.00
19	130900	TEMPORARY CONCRETE WASHOUT		LUMP SUM		LUMP SUM		2,500.00
20	141000	TEMPORARY FENCE (TYPE ESA)	LF	560.0		4.00		2,240.00

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Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price		Item Total	
					Dollars	Cts	Dollars	Cts
21	141103	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE)	LF	450.0		9.00		4,050.00
22	150809	REMOVE CULVERT (LF)	LF	65.0		100.00		6,500.00
23	152299	RESET MILEPOST MARKER	EA	1.0		300.00		300.00
24	152316	RESET ROADSIDE SIGN (ONE POST)	EA	2.0		350.00		700.00
25	152317	RESET ROADSIDE SIGN (TWO POST)	EA	1.0		400.00		400.00
26	153225	PREPARE CONCRETE BRIDGE DECK SURFACE	SQFT	1,995.0		3.00		5,985.00
27	153227	FURNISH POLYESTER CONCRETE OVERLAY	CF	250.0		140.00		35,000.00
28(F)	153228	PLACE POLYESTER CONCRETE OVERLAY	SQFT	1,995.0		16.00		31,920.00
29	160102	CLEARING AND GRUBBING (LS)	LUMP SUM		LUMP SUM			5,000.00
30	190101	ROADWAY EXCAVATION	CY	400.0		30.00		12,000.00

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					Dollars	Cts	Dollars	Cts
31	190136	ROADWAY EXCAVATION (DETOUR)	CY	38.0	75.00		2,850.00	
32	190138	ROADWAY EXCAVATION (DETOUR REMOVAL)	CY	1,080.0	25.00		27,000.00	
33	190151	CHANNEL EXCAVATION	CY	960.0	25.00		24,000.00	
34	190185	SHOULDER BACKING	TON	78.0	70.00		5,460.00	
35(F)	192003	STRUCTURE EXCAVATION (BRIDGE)	CY	350.0	75.00		26,250.00	
36(F)	193003	STRUCTURE BACKFILL (BRIDGE)	CY	145.0	75.00		10,875.00	
37	198010	IMPORTED BORROW (CY)	CY	790.0	25.00		19,750.00	
38	026813	CLEAN WASHED GRAVEL	CY	110.0	55.00		6,050.00	
39	026814	BOULDER MONUMENT	EA	1.0	3,000.00		3,000.00	
40	210430	HYDROSEED	SQFT	33,000.0	0.24		7,920.00	



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					Dollars	Cts	Dollars	Cts
51(F)	510053	STRUCTURAL CONCRETE, BRIDGE	CY	24.0	500.00		12,000.00	
52	044526	BEARING GROUT	CY	4.0	500.00		2,000.00	
53(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	CY	1.1	1,500.00		1,650.00	
54(F)	511035	ARCHITECTURAL TREATMENT	SQFT	680.0	10.00		6,800.00	
55	044527	FURNISH PRECAST PRESTRESSED CONCRETE VOIDED SLAB	SQFT	2,130.0	109.00		232,170.00	
56	044528	FURNISH PRECAST ABUTMENT	EA	6.0	60,000.00		360,000.00	
57	044529	FURNISH PRECAST WINGWALL	EA	4.0	24,000.00		96,000.00	
58	044530	ERECT PRECAST PRESTRESSED CONCRETE VOIDED SLAB	EA	9.0	4,000.00		36,000.00	
59	044531	ERECT PRECAST ABUTMENT	EA	6.0	4,000.00		24,000.00	
60	044532	ERECT PRECAST WINGWALL	EA	4.0	1,500.00		6,000.00	

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					Dollars	Cts	Dollars	Cts
61	519088	JOINT SEAL (MR 1")	LF	77.0	30.00		2,310.00	
62(F)	520102	BAR REINFORCING STEEL (BRIDGE)	LB	16,530.0	1.60		26,448.00	
63(F)	520110	BAR REINFORCING STEEL (EPOXY COATED) (BRIDGE)	LB	3,950.0	1.60		6,320.00	
64	597601	PREPARE AND STAIN CONCRETE	SQFT	680.0	35.00		23,800.00	
65	026816	STAIN GALVANIZED SURFACES	LF	370.0	20.00		7,400.00	
66	620100	18" ALTERNATIVE PIPE CULVERT	LF	140.0	65.00		9,100.00	
67	026817	72" TEMPORARY CULVERT	LF	51.0	100.00		5,100.00	
68	705311	18" ALTERNATIVE FLARED END SECTION	EA	1.0	400.00		400.00	
69	026818	ROCK SLOPE PROTECTION (STREAMBED RESTORATION)	CY	740.0	65.00		48,100.00	
70	026819	PLANTING TUBE	LF	61.0	15.00		915.00	

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Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price		Item Total	
					Dollars	Cts	Dollars	Cts
71	720110	SMALL-ROCK SLOPE PROTECTION	CY	3.4	200.00		680.00	
72	721028	ROCK SLOPE PROTECTION (NO. 2, METHOD B) (CY)	CY	6.0	200.00		1,200.00	
73	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQYD	210.0	8.00		1,680.00	
74(F)	750001	MISCELLANEOUS IRON AND STEEL	LB	239.0	4.00		956.00	
75	820108	DELINEATOR (CLASS 2)	EA	6.0	200.00		1,200.00	
76	820112	MARKER (CULVERT)	EA	2.0	250.00		500.00	
77	820130	OBJECT MARKER	EA	11.0	300.00		3,300.00	
78(F)	839521	CABLE RAILING	LF	68.0	90.00		6,120.00	
79	839543	TRANSITION RAILING (TYPE WB-31)	EA	3.0	3,800.00		11,400.00	
80	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	2.0	3,800.00		7,600.00	

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Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price		Item Total	
					Dollars	Cts	Dollars	Cts
81	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	EA	1.0	28.00		28.00	
82	026820	ALTERNATIVE CRASH CUSHION	EA	1.0	32,000.00		32,000.00	
83(F)	044533	CALIFORNIA ST-70 BRIDGE RAIL (MODIFIED)	LF	120.0	325.00		39,000.00	
84(F)	044534	CONCRETE BARRIER (TRANSITION ANCHOR BLOCK)	LF	26.0	500.00		13,000.00	
85	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	2,470.0	3.05		7,533.50	
86	860772	COMMUNICATION CONDUIT (BRIDGE) (LF)	LF	200.0	50.00		10,000.00	
87	869039	COMMUNICATION PULL BOX	EA	4.0	1,700.00		6,800.00	
88	999990	MOBILIZATION	LUMP SUM		LUMP SUM		25,000.00	
Section 1		Total					1,963,233.50	
Total Bid							1,963,233.50	

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SUBCONTRACTOR LIST

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The bidder must identify each subcontractors performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater. (Pub Cont Code ♦ 4100 et seq.) Complete business name, location, and description of subcontracted work and submit with the bid. Complete bid item numbers and percentage of bid item subcontracted and submit with the bid, or fax to (916)227-6282 within 24 hours after the bid opening. Failure to provide complete information in all areas within the time specified will result in a nonresponsive bid.

List this sub? Yes

1) Business Name:Apply-A-Line Inc  
City:Anderson State:CA  
Description of Subcontracted Work:

Stripping

Bid Item Numbers:

4,5,6,21,85

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

2) Business Name:Nitta Erosion Control  
City:Loomis State:CA  
Description of Subcontracted Work:

Erosion Control

Bid Item Numbers:

40

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

3) Business Name:Northern Industrial Electric  
City:Redding State:ca  
Description of Subcontracted Work:  
Temp Flashing Beacon System

Bid Item Numbers:

8

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

86

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

87

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

4) Business Name:Schwager Daves, Inc  
City:San Jose State:CA  
Description of Subcontracted Work:

Post-Tensioning

Bid Item Numbers:

50

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

5) Business Name:Apex Fencing Co. Inc.

City:Anderson State:CA

Description of Subcontracted Work:

Bridge Rail, and misc items

Bid Item Numbers:

65,78,79,80,,81,82,83

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

6) Business Name:Camblin Steel Services Inc.

City:Rocklin State:ca

Description of Subcontracted Work:

Rebar, Steel

Bid Item Numbers:

62,63

Percentages of Bid items Subcontracted:

100

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes

7) Business Name:J. F. Shea Construction, Inc.

City:Redding State:Ca.

Description of Subcontracted Work:

Polyester Concrete

Bid Item Numbers:

26,27,28  
Percentages of Bid items Subcontracted:  
100  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes  
8) Business Name:Kie- Con Inc.  
City:Antioch State:CA  
Description of Subcontracted Work:  
Erect Pre-cast Structure  
Bid Item Numbers:  
58,59,60

Percentages of Bid items Subcontracted:  
100  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes  
9) Business Name:Ava K Early  
City:Redding State:CA  
Description of Subcontracted Work:  
Cast in Drilled Hole Pile  
Bid Item Numbers:  
47,48,49,88,

Percentages of Bid items Subcontracted:  
100  
Bid Item Numbers:

Percentages of Bid items Subcontracted:  
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304                      Project ID: 0212000010  
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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:            Name:

STATE OF CALIFORNIA  
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SMALL BUSINESS STATUS

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :46136

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA  
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CALTRANS BIDDER - DBE - COMMITMENT

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IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed must be consistent, where applicable, with the names and items of work in the Subcontractor List. Copies of the DBE quotes are required.

1. DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.

Bid Item No.

40

Item of work and description of services to be subcontracted or materials to be provided.

Erosion Control, Hydroseed

Name of DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number)

(Indicate 2nd and lower tier subcontractors)

Nitta Erosion Control, certified DBE #217 (EXP, 12-1-15)

3778 Del Mar ave loomis, CA. 916-652-7459

2nd tier

Dollar amount DBE 6,270.00

Bid Item No.

8,86,87

Item of work and description of services to be subcontracted or materials to be provided.

Flashing becon system

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number)

(Indicate 2nd and lower tier subcontractors)

Northern Industrial Electric 2436 Radio lane Redding, CA.96001

Certified DBE #34396 (EXP 3-1-15)

2nd tier

Dollar amount DBE 68,353.00

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CALTRANS BIDDER - DBE - COMMITMENT (continued)

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Bid Item No.

47,48,49,88

Item of work and description of services to be subcontracted or materials to be provided.

Cast in drilled hole pile

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Ava K Early 6641 stoney Dr. Redding, CA. 96002

Certified DBE #1695(EXP 3-1-15)

2nd tier

Dollar amount DBE 143,599.00

Bid Item No.

43,44

Item of work and description of services to be subcontracted or materials to be provided.

Asphalt Dike, Misc Paving

Name of DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

A.C. Dike Co.

2788 venture dr. Lincoln, CA 95648 certified #000219

2nd tier

Dollar amount DBE 4,700.00

Bid Item No.

Item of work and description of services to be subcontracted or materials to be

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

Bid Item No.

Item of work and description of services to be subcontracted or materials to be

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE



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CALTRANS BIDDER - DBE - COMMITMENT (continued)

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Bid Item No.  
Item of work and description of services to be subcontracted or materials to be provided.

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

Bid Item No.  
Item of work and description of services to be subcontracted or materials to be provided.

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

Bid Item No.  
Item of work and description of services to be subcontracted or materials to be

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

Bid Item No.  
Item of work and description of services to be subcontracted or materials to be

Name of DBE (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

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Signature of Bidder \_\_\_\_\_ Date \_\_\_\_\_

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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 1 OF 3

1. List items of work the Bidder made available to DBE firms. Identify those items of work the Bidder might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

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GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 1 OF 3 (cont'd)

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :  
Amount :  
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :  
Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304 Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 2 OF 3

- List the names of certified DBE and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the DBE were interested. Submit copies of solicitations, telephone records, fax confirmations, etc.

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

Enter name(s) of DBE solicited :  
Enter date of initial solicitation :  
Item(s) of work :

Follow Up Methods and Dates :

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304 Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 2 OF 3 (cont'd)

3. For each item of work made available, list the selected firm and its status as a DBE, the DBE that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE.

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :  
DBE or Non-DBE :  
Name of Rejected Firm :  
Quote : Price Difference :

Item(s) of work :

Name of selected firm :

DBE or Non-DBE :

Name of Rejected Firm :

Quote : Price Difference :

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

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Bidder: VC0000014853 - Hayes and Sons Inc.

GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 3 OF 3

4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Submit copies of published advertisements or proofs of publication.

Publication :  
Dates of Advertisement :

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

Name of Agency :  
Date of Contact :  
Method of Contact:  
Results :

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304

Project ID: 0212000010

Bidder: VC0000014853 - Hayes and Sons Inc.

GOOD FAITH EFFORTS DOCUMENTATION - DBE PAGE 3 OF 3 (cont'd)

6. List efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the DBE assisted, the information provided, and the date of contact. Submit copies of supporting documents.
  
7. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.
  
8. Include additional data to support a demonstration of good faith efforts. Submit additional information if necessary.

STATE OF CALIFORNIA  
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BID BOOK

Contract No.: 02-4E6304

Project ID: 0212000010

Bidder: VC0000014853 - Hayes and Sons Inc.

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304 Project ID: 0212000010

Bidder: VC0000014853 - Hayes and Sons Inc.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

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This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304 Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

DISCLOSURE OF LOBBYING ACTIVITIES

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Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
  - For Material Change Only
  - Year:
  - Quarter:
  - Date of last report:
4. Name and Address of Reporting Entity:
  - Tier: ,if known
  - Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:
  - Congressional District, if known:
6. Federal Department/Agency:
7. Federal Program Name/Description:
  - CFDA Number, if applicable:
8. Federal Action Number, if known:
9. Award amount, if known:
- 10 a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
- b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI)
- 11 Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.  
  
Name:  
Title:  
Telephone No.:  
DATE:

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304  
Bidder: VC0000014853 - Hayes and Sons Inc.  
Project ID: 0212000010

CERTIFICATIONS

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

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Bidder Hayes & Sons Inc, proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5 (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304                      Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

CERTIFICATIONS (continued)

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

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Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304                      Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

CERTIFICATIONS (continued)

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

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NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA. County of :Siskiyou

Name: James A Hayes,

being first duly sworn, deposes and says that he or she is

Company Title: Project Manager of

Company Name: Hayes & Sons Inc

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid."

Contract No.: 02-4E6304 Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

CERTIFICATIONS (continued)

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
  2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.
- 

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

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VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

Explanation:

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID BOOK

Contract No.: 02-4E6304 Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

CERTIFICATIONS (continued)

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

Explanation:

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document entitled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

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Contract No.: 02-4E6304                      Project ID: 0212000010  
Bidder: VC0000014853 - Hayes and Sons Inc.

CERTIFICATIONS (continued)

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BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer is to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

Explanation:

END CERTIFICATIONS

BIDDER'S BOND  
State of California - Department of Transportation

Contract No. 02-4E6304

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN SISKIYOU COUNTY NEAR SEIAD VALLEY AT 3.5 MILES EAST OF THOMPSON CREEK BRIDGE In District 02 on Route 96 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 01-29-14

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

By: \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature \_\_\_\_\_

Signature of Notary Public

(SEAL)