CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

CONSTRUCTION ON STATE HIGHWAY IN
TRINITY COUNTY AT AND NEAR WEAVERVILLE FROM INDUSTRIAL PARKWAY TO 0.5 MILE EAST OF LEWISTON ROAD
In District 02 on Route 299

UNDER

Notice to Bidders and Special Provisions dated January 13, 2014
Project plans approved November 6, 2013
Standard Specifications dated 2010
Standard Plans dated 2010

Identified by

Contract No. 02-4E4104

02-Tri-299-53.5/64.0

Project ID 0200020282

FEDERAL-AID PROJECT
ACNHP-P299(179)E

Bids open Wednesday, February 12, 2014 Dated January 13, 2014

AADD

Contract No.: 02-4E4104 Project ID: 0200020282
Bidder: VC0000022972 - J. F. Shea Construction, Inc.
Contract No.:
Name of Bidder: J. F. Shea Construction, Inc.
Business P.O. Box:
City, State, Zip: Redding, CA, 5302469940
Business Street Address: P. O. Box 494519
Telephone Number: 5302464292
Fax Number: 5302469940
Contractor License Number:769989

STATE OF CALIFORNIA ♦ DEPARTMENT OF TRANSPORTATION
BID TO THE DEPARTMENT OF TRANSPORTATION

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1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

   For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

   For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

   For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:2
   01-31-14 Revise Bid Item List Project Plans Notice to Bidders and Special Pr

3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond

4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
   4.1 Criminal prosecution
   4.2 Rejection of the bid
   4.3 Rescission of the award
   4.4 Termination of the Contract

By:Ed Kernaghan Title:Vice President
Bidder ID VC0000022972 Checksum 02111C4E

Section 1 BID ITEM LIST

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM		LUMP SUM		3,000.00	
2	120090	CONSTRUCTION AREA SIGNS	LUMP SUM		LUMP SUM		4,000.00	
3	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM		LUMP SUM		185,000.00	
4	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM		LUMP SUM		10,000.00	
5	130100	JOB SITE MANAGEMENT	LUMP SUM		LUMP SUM		5,000.00	
6	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM		LUMP SUM		800.00	
7	130620	TEMPORARY DRAINAGE INLET PROTECTION	EA	11.0	75.00		825.00	
8	130640	TEMPORARY FIBER ROLL	LF	2,610.0	3.00		7,830.00	
9	130650	TEMPORARY GRAVEL BAG BERM	LF	200.0	20.00		4,000.00	
10	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM		LUMP SUM		330.00	

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
11	140003	ASBESTOS COMPLIANCE PLAN	LUMP SUM		LUMP SUM		6,000.00	
12	141000	TEMPORARY FENCE (TYPE ESA)	LF	80.0	7.50		600.00	
13	141120	TREATED WOOD WASTE	LB	178,000.0	0.05		8,900.00	
14	150203	ABANDON CULVERT (EA)	EA	1.0	2,800.00		2,800.00	
15	150662	REMOVE METAL BEAM GUARD RAILING	LF	63.0	12.00		756.00	
16	150668	REMOVE FLARED END SECTION	EA	1.0	110.00		110.00	
17	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	SQFT	620.0	6.50		4,030.00	
18	150742	REMOVE ROADSIDE SIGN	EA	21.0	53.00		1,113.00	
19	150763	REMOVE SIGN PANEL	EA	2.0	370.00		740.00	
20	150771	REMOVE ASPHALT CONCRETE DIKE	LF	7,680.0	2.50		19,200.00	

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
21	150808	REMOVE CULVERT (EA)	EA	9.0	1,300.00		11,700.00	
22	026849	REMOVE CULVERT (NATURALLY OCCURRING ASBESTOS)	EA	1.0	5,500.00		5,500.00	
23	150820	REMOVE INLET	EA	2.0	1,600.00		3,200.00	
24	150837	REMOVE RETAINING WALL (PORTION) (CY)	CY	25.0	100.00		2,500.00	
25	151224	REMOVE DELINEATOR	EA	500.0	2.10		1,050.00	
26	026850	RECONSTRUCT TRANSITION RAILING (TYPE WB)	EA	12.0	2,200.00		26,400.00	
27	026851	RECONSTRUCT END ANCHOR (TYPE SFT)	EA	2.0	475.00		950.00	
28	151572	RECONSTRUCT METAL BEAM GUARD RAILING	LF	7,130.0	18.00		128,340.00	
29	026852	RECONSTRUCT METAL BEAM GUARD RAILING (7' STEEL POST)	LF	6,950.0	21.00		145,950.00	
30	026853	RECONSTRUCT TYPE SRT TERMINAL SYSTEM	EA	24.0	1,400.00		33,600.00	

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
31	026854	RECONSTRUCT TYPE ET TERMINAL SYSTEM	EA	2.0	2,000.00		4,000.00	
32	152430	ADJUST INLET	EA	5.0	1,550.00		7,750.00	
33	152500	ADJUST METAL BEAM GUARD RAILING	LF	580.0	8.00		4,640.00	
34	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	190,000.0	1.30		247,000.00	
35	026855	COLD PLANE ASPHALT CONCRETE PAVEMENT (PROFILE CORRECTION)	SQYD	13,700.0	3.49		47,813.00	
36	153140	REMOVE CONCRETE SIDEWALK (SQYD)	SQYD	10.0	118.00		1,180.00	
37	026856	REMOVE CONCRETE (PARKING BUMPER)	EA	10.0	6.00		60.00	
38	190101	ROADWAY EXCAVATION	CY	1,000.0	16.00		16,000.00	
39	190185	SHOULDER BACKING	TON	3,840.0	29.00		111,360.00	
40	210210	EROSION CONTROL (DRY SEED) (SQFT)	SQFT	3,900.0	0.48		1,872.00	

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
41	210420	STRAW	SQFT	3,900.0	0.30		1,170.00	
42	390011	PREPAVING INERTIAL PROFILER	LUMP SUM		LUMP SUM		2,200.00	
43	390020	PREPAVING GRINDING DAY	EA	3.0	6,800.00		20,400.00	
44	390095	REPLACE ASPHALT CONCRETE SURFACING	CY	1,300.0	200.00		260,000.00	
45	390131	HOT MIX ASPHALT	TON	42,600.0	79.00		3,365,400.00	
46	394060	DATA CORE	LUMP SUM		LUMP SUM		3,100.00	
47	394073	PLACE HOT MIX ASPHALT DIKE (TYPE A)	LF	110.0	2.00		220.00	
48	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	LF	90.0	2.00		180.00	
49	394075	PLACE HOT MIX ASPHALT DIKE (TYPE D)	LF	900.0	2.00		1,800.00	
50	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	LF	590.0	2.00		1,180.00	

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
51	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	LF	7,250.0	2.00		14,500.00	
52	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	SQYD	63.0	80.00		5,040.00	
53	397005	TACK COAT	TON	120.0	220.00		26,400.00	
54	026857	EARTH RETAINING SYSTEM (RAILING COMPONENTS)	SQFT	200.0	48.00		9,600.00	
55(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	CY	5.7	1,600.00		9,120.00	
56	560249	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)	SQFT	130.0	15.00		1,950.00	
57	560251	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-FRAMED)	SQFT	140.0	16.00		2,240.00	
58	560252	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-FRAMED)	SQFT	200.0	17.00		3,400.00	
59	566011	ROADSIDE SIGN - ONE POST	EA	10.0	300.00		3,000.00	
60	566012	ROADSIDE SIGN - TWO POST	EA	13.0	400.00		5,200.00	

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
61	665025	24" CORRUGATED STEEL PIPE (.138" THICK)	LF	360.0	126.00		45,360.00	
62	665075	18" CORRUGATED STEEL PIPE (.138" THICK)	LF	62.0	90.00		5,580.00	
63(F)	720110	SMALL-ROCK SLOPE PROTECTION	CY	7.0	300.00		2,100.00	
64(F)	721026	ROCK SLOPE PROTECTION (NO. 1, METHOD B) (CY)	CY	7.0	350.00		2,450.00	
65	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQYD	60.0	2.00		120.00	
66	730070	DETECTABLE WARNING SURFACE	SQFT	14.0	165.00		2,310.00	
67	731521	MINOR CONCRETE (SIDEWALK)	CY	1.0	1,400.00		1,400.00	
68	735000	PARKING BUMPER (PRECAST CONCRETE)	EA	10.0	110.00		1,100.00	
69(F)	750001	MISCELLANEOUS IRON AND STEEL	LB	478.0	2.00		956.00	
70	820108	DELINEATOR (CLASS 2)	EA	770.0	40.00		30,800.00	

Item No.	Item Code	Item Description	Unit Of Meas	Quant	Unit Price Dollars	Unit Price Cts	Item Total Dollars	Item Total Cts
71	820110	MILEPOST MARKER	EA	20.0	60.00		1,200.00	
72	820112	MARKER (CULVERT)	EA	22.0	50.00		1,100.00	
73	820130	OBJECT MARKER	EA	120.0	52.00		6,240.00	
74	839541	TRANSITION RAILING (TYPE WB)	EA	2.0	4,400.00		8,800.00	
75	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	3,440.0	6.00		20,640.00	
76	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	239,000.0	0.29		69,310.00	
77	850122	PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)	EA	3,870.0	6.50		25,155.00	
78	026858	MODIFY TRAFFIC COUNT STATION	LUMP SUM		LUMP SUM		15,000.00	
79	999990	MOBILIZATION	LUMP SUM		LUMP SUM		130,000.00	
	Section 1 Total						5,171,620.00	
	Total Bid						5,171,620.00	

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID BOOK

Contract No.: 02-4E4104 Project ID: 0200020282
Bidder: VC0000022972 - J. F. Shea Construction, Inc.

SUBCONTRACTOR LIST

The bidder must identify each subcontractors performing work in an amount in excess of 1/2 of 1 percent of the total bid or $10,000, whichever is greater. (Pub Cont Code ◆ 4100 et seq.) Complete business name, location, and description of subcontracted work and submit with the bid. Complete bid item numbers and percentage of bid item subcontracted and submit with the bid, or fax to (916)227-6282 within 24 hours after the bid opening. Failure to provide complete information in all areas within the time specified will result in a nonresponsive bid.

List this sub? Yes
1) Business Name:Highway Specialty Co.
City:Palo Cedro State:CA
Description of Subcontracted Work:
Signs, Traffic Control
Bid Item Numbers:
2, 3, 56, 57, 58, 59, 60, 70, 71, 72, 73
Percentages of Bid items Subcontracted:
100, 100, 100, 100, 100, 100, 100, 100, 100, 100, 100
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes
2) Business Name:Apex Fence Co., Inc.
City:Anderson State:CA
Description of Subcontracted Work:
Guard Railing and Retaining Walls
Bid Item Numbers:
13, 15, 26, 27, 28, 29, 30, 31, 33, 54, 74
Percentages of Bid items Subcontracted:
100, 100, 100, 100, 100, 100, 100, 100, 100, 100, 100
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes
3) Business Name:Linear Options, Inc.
City:Durham State:CA
Description of Subcontracted Work:
Striping and Marking
Bid Item Numbers:
79, 3
Percentages of Bid items Subcontracted:
7,2.7
Bid Item Numbers:
17, 75, 76, 77
Percentages of Bid items Subcontracted:
100, 100, 100, 100
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes
4) Business Name:A.C. Dike Co.
City:Lincoln State:CA
Description of Subcontracted Work:
Place Dike and Place Asphalt Misc. Areas
Bid Item Numbers:
47, 48, 49, 50, 51, 52
Percentages of Bid items Subcontracted:
100, 100, 100, 100, 100, 100
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes
5) Business Name:Terry Hanson Electric
City:Redding State:CA
Description of Subcontracted Work:
Electrical
Bid Item Numbers:
78
Percentages of Bid items Subcontracted:
100
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes
6) Business Name:Nitta Erosion Control
City:Loomis State:CA
Description of Subcontracted Work:
Erosion Control, Straw
Bid Item Numbers:
40, 41
Percentages of Bid items Subcontracted:
100, 100
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

List this sub? Yes
7) Business Name:S.T. Rhoades Construction, Inc.
City:Redding State:CA
Description of Subcontracted Work:
Cold Plane Asphalt Concrete Pavement
Bid Item Numbers:

34
Percentages of Bid items Subcontracted:
100
Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

Bid Item Numbers:

Percentages of Bid items Subcontracted:

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Name:

SMALL BUSINESS STATUS

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over $100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID BOOK

Contract No.: 02-4E4104 Project ID: 0200020282
Bidder: VC0000022972 - J. F. Shea Construction, Inc.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes,e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
    (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
   For Material Change Only
   Year:
   Quarter:
   Date of last report:
4. Name and Address of Reporting Entity:

   Tier: ,if known
   Congressional District, if known:
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

   Congressional District, if known:
6. Federal Department/Agency:

7. Federal Program Name/Description:

   CFDA Number, if applicable:
8. Federal Action Number, if known:
9. Award amount, if known:
10 a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

   b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI)

11 Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

   Name:
   Title:
   Telephone No.:
   DATE:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder J. F. Shea Construction, Inc., proposed subcontractor ,
certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5 (Generally only contracts or subcontracts of $10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

CERTIFICATIONS (continued)

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

CERTIFICATIONS (continued)

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of :Shasta

Name: Ed Kernaghan,

being first duly sworn, deposes and says that he or she is

Company Title: Vice President of

Company Name: J. F. Shea Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid."

CERTIFICATIONS (continued)

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ◆ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ◆ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ◆ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:
Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No
If the answer is yes, explain the circumstances in the following space.

Explanation:

CERTIFICATIONS (continued)

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

Explanation:

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document entitled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

CERTIFICATIONS (continued)

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
   No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
   No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
   No

4. If the answer is to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
   4.1. Date of each nonresponsibility determination
   4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
   4.3. Contract number for each nonresponsibility determination
   Explanation:

END CERTIFICATIONS

BIDDER's BOND
State of California - Department of Transportation

Contract No. 02-4E4104

Bond No. _____

We _____

_____

_____ as Principal, and

_____

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for
CONSTRUCTION ON STATE HIGHWAY IN
TRINITY COUNTY AT AND NEAR WEAVERVILLE FROM INDUSTRIAL PARKWAY TO 0.5 MILE EAST OF LEWISTON ROAD
In District 02 on Route 299
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 02-12-14

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including reasonable attorney's fee to be fixed by the court.

Dated: _____, 20_____. _____

Principal: _____

Surety: _____

By: _____

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

_____

_____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of Officer

personally appeared _____

Name(s) of Signer(s)

---

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature _____

Signature of Notary Public

(SEAL)

SURETY 2000 INSURANCE DOCUMENT MANAGER

Insurance Document Management System 3.0

State of California, Department of Transportation - Chris Rice

Bond Number: SCA14514786

Contractor Information

Principal: J. F. Shea Construction, Inc. 530-246-4292

Address: P. O. Box 494519 Redding California 96049 United States

Contractor's State Vendor ID Number: VC0000022972

Owner/Obligee Information

Bond Form: Bid Bond in accordance with Contract Specifications

Owner / Obligee: State of California, Department of Transportation

Address: 1727 30th Street, Bidders` Exchange, MS 26 Sacramento California 95816 United States

Bond Information

Surety: Liberty Mutual Insurance Company

Rider Present: Click here to view

Amount of Bid Security: 10%

Contract ID Number: 02-4E4104

Description of Job: FOR CONSTRUCTION ON STATE HIGHWAY IN TRINITY COUNTY AT AND NEAR WEAVERVILLE FROM INDUSTRIAL PARKWAY TO 0.5 MILE EAST OF LEWISTON ROAD Hot Mix Asphalt Overlay, Reconstruct Guard Rail and End Treatments

View AMBest Information **View Surety Profile** **Treasury List**

Primary Agency:

Aon Risk Insurance Services West, Inc.
Power of Attorney Limited to: No Stated Limit
Executed

Executed By:

Simone Gerhard - 1/15/2014 5:05:12 PM ET
Phone: 213-630-1386
Email: edward.spector@aon.com

Know all men by these presents that Liberty Mutual Insurance Company, a Corporation duly organized under the laws of the State of Massachusetts, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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