

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

2

A3

CONSTRUCTION ON STATE HIGHWAY IN  
TRINITY COUNTY AT AND NEAR JUNCTION CITY AND WEAVERVILLE FROM NORTH FORK  
TRINITY RIVER BRIDGE TO 0.1 MILE EAST OF INDUSTRIAL ROAD  
In District 02 on Route 299

UNDER

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Notice to Bidders and Special Provisions dated February 17, 2015  
Project plans approved November 26, 2014  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 02-3E7714

02-Tri-299-36.9/53.5

Project ID 0215000046

FEDERAL-AID PROJECT  
ACSTP-P299(189)E

Bids open Wednesday, April 8, 2015

Dated February 17, 2015

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder J.F. Shea Construction, Inc.

Business Street Address P.O. Box 494519

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Redding, CA, 96049

Telephone No: AREA CODE 530-246-4292

Fax No: AREA CODE 530-246-9940

Contractor License No. 769989

California Department of Industrial Relations' Registration No. 1000001536

Checksum A9350634

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the nonplant establishment work within the number of working days bid for nonplant establishment work.

2. Bidder has and acknowledges the following addenda:2  
03-27-15 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Ed Kernaghan AND

TITLE OF PERSON SIGNING: Vice President

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BY (Authorized signature)

DATE SIGNED ( Do not type)

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	1,300.00
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LUMP SUM LS	LUMP SUM	6,000.00
3	090100	TIME-RELATED OVERHEAD (WDAY)	120.0 WDAY	50.00	6,000.00
4	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	5,425.00
5	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	196,632.00
6	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	12,000.00
7	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	7,500.00
8	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM LS	LUMP SUM	500.00
9	130620	TEMPORARY DRAINAGE INLET PROTECTION	21.0 EA	65.00	1,365.00
10	130640	TEMPORARY FIBER ROLL	3,750.0 LF	3.00	11,250.00
11	130650	TEMPORARY GRAVEL BAG BERM	300.0 LF	15.00	4,500.00
12	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	1,750.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	141000	TEMPORARY FENCE (TYPE ESA)	250.0 LF	10.00	2,500.00
14	141120	TREATED WOOD WASTE	100,000.0 LB	0.10	10,000.00
15	150100	PUBLIC SAFETY PLAN	LUMP SUM LS	LUMP SUM	800.00
16	150203	ABANDON CULVERT (EA)	3.0 EA	675.00	2,025.00
17	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	300.0 SQFT	3.50	1,050.00
18	150742	REMOVE ROADSIDE SIGN	17.0 EA	40.00	680.00
19	150763	REMOVE SIGN PANEL	5.0 EA	25.00	125.00
20	150771	REMOVE ASPHALT CONCRETE DIKE	14,700.0 LF	3.00	44,100.00
21	150809	REMOVE CULVERT (LF)	910.0 LF	6.00	5,460.00
22	028533	REMOVE DOWNDRAIN	75.0 LF	30.00	2,250.00
23	150820	REMOVE INLET	1.0 EA	1,000.00	1,000.00
24	150821	REMOVE HEADWALL	1.0 EA	200.00	200.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	044823	REMOVE POLYESTER CONCRETE OVERLAY	4,524.0 SQFT	6.25	28,275.00
26	151224	REMOVE DELINEATOR	530.0 EA	6.00	3,180.00
27	028534	RECONSTRUCT DRAINAGE INLET LID	1.0 EA	4,500.00	4,500.00
28	028535	RECONSTRUCT GUARDRAIL (7' STEEL POST)	450.0 LF	21.50	9,675.00
29	151573	RECONSTRUCT GUARDRAIL	7,990.0 LF	18.00	143,820.00
30	028536	RECONSTRUCT TERMINAL SYSTEM (TYPE ET)	2.0 EA	1,500.00	3,000.00
31	028537	RECONSTRUCT TERMINAL SYSTEM (TYPE SRT)	20.0 EA	1,200.00	24,000.00
32	152320	RESET ROADSIDE SIGN	1.0 EA	175.00	175.00
33	152501	ADJUST GUARDRAIL	400.0 LF	10.00	4,000.00
34	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	149,000.0 SQYD	4.05	603,450.00
35	153123	REMOVE CONCRETE (SQYD)	1,000.0 SQYD	33.50	33,500.00
36	153223	REMOVE UNSOUND CONCRETE	33.0 CF	417.00	13,761.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	153225	PREPARE CONCRETE BRIDGE DECK SURFACE	12,624.0 SQFT	0.50	6,312.00
38	153227	FURNISH POLYESTER CONCRETE OVERLAY	1,268.0 CF	61.00	77,348.00
39 (F)	153228	PLACE POLYESTER CONCRETE OVERLAY	12,624.0 SQFT	4.25	53,652.00
40	155213	CLEANING, INSPECTING, AND PREPARING CULVERT (LF)	97.0 LF	19.00	1,843.00
41	155232	SAND BACKFILL	12.0 CY	60.00	720.00
42	155316	24" CURED-IN-PLACE PIPELINER	97.0 LF	158.00	15,326.00
43	190101	ROADWAY EXCAVATION	530.0 CY	25.00	13,250.00
44	190185	SHOULDER BACKING	13,100.0 TON	34.00	445,400.00
45	028538	ASSEMBLE AND INSTALL BENCH	2.0 EA	250.00	500.00
46	210210	EROSION CONTROL (DRY SEED) (SQFT)	10,300.0 SQFT	0.22	2,266.00
47	210420	STRAW	10,300.0 SQFT	0.21	2,163.00
48	260203	CLASS 2 AGGREGATE BASE (CY)	350.0 CY	80.00	28,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49	390011	PREPAVING INERTIAL PROFILER	LUMP SUM LS	LUMP SUM	3,500.00
50	390020	PREPAVING GRINDING DAY	6.0 EA	12,000.00	72,000.00
51	390095	REPLACE ASPHALT CONCRETE SURFACING	500.0 CY	280.00	140,000.00
52	390132	HOT MIX ASPHALT (TYPE A)	59,500.0 TON	80.00	4,760,000.00
53	390401	HOT MIX ASPHALT-OPEN GRADED (OPEN GRADED FRICTION COURSE)	7,760.0 TON	132.00	1,024,320.00
54	394060	DATA CORE	LUMP SUM LS	LUMP SUM	4,900.00
55	394073	PLACE HOT MIX ASPHALT DIKE (TYPE A)	55.0 LF	2.00	110.00
56	394075	PLACE HOT MIX ASPHALT DIKE (TYPE D)	310.0 LF	2.00	620.00
57	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	8,390.0 LF	1.30	10,907.00
58	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	6,120.0 LF	1.25	7,650.00
59	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	390.0 SQYD	115.00	44,850.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60	397005	TACK COAT	140.0 TON	1.00	140.00
61(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	17.0 CY	3,000.00	51,000.00
62	511118	CLEAN EXPANSION JOINT	280.0 LF	25.00	7,000.00
63	519081	JOINT SEAL (MR 1/2")	104.0 LF	40.00	4,160.00
64	519102	JOINT SEAL (TYPE AL)	176.0 LF	30.00	5,280.00
65	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	3.5 SQFT	14.00	49.00
66	028539	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	53.0 SQFT	8.00	424.00
67	028540	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"- UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	86.0 SQFT	9.00	774.00
68	028541	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063-FRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	71.0 SQFT	12.00	852.00
69	028542	RETROREFLECTIVE SHEETING (TYPE XI)	210.0 SQFT	6.40	1,344.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
70	566011	ROADSIDE SIGN - ONE POST	9.0 EA	235.00	2,115.00
71	566012	ROADSIDE SIGN - TWO POST	9.0 EA	345.00	3,105.00
72	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	4.0 EA	50.00	200.00
73	568017	INSTALL ROADSIDE SIGN PANEL ON EXISTING POST	2.0 EA	50.00	100.00
74	028543	STAIN GALVANIZED SURFACES	LUMP SUM LS	LUMP SUM	90,000.00
75	597401	PAINT CURB (2-COAT)	400.0 LF	2.75	1,100.00
76	641101	12" PLASTIC PIPE	85.0 LF	110.00	9,350.00
77	641107	18" PLASTIC PIPE	250.0 LF	65.00	16,250.00
78	641113	24" PLASTIC PIPE	2.0 LF	402.00	804.00
79	641119	30" PLASTIC PIPE	25.0 LF	185.00	4,625.00
80	665010	12" CORRUGATED STEEL PIPE	12.0 LF	325.00	3,900.00
81	665024	24" CORRUGATED STEEL PIPE (.109" THICK)	1,000.0 LF	203.00	203,000.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
82	667034	57" X 38" CORRUGATED STEEL PIPE ARCH (.109" THICK)	54.0 LF	156.00	8,424.00
83	690110	12" CORRUGATED STEEL PIPE DOWNDRAIN	47.0 LF	93.00	4,371.00
84	690124	24" CORRUGATED STEEL PIPE DOWNDRAIN (.109" THICK)	76.0 LF	85.00	6,460.00
85	692301	ANCHOR ASSEMBLY	8.0 EA	1,100.00	8,800.00
86	702616	24" CORRUGATED STEEL PIPE ENERGY DISSIPATOR (.064" THICK)	2.0 EA	345.00	690.00
87	707117	36" PRECAST CONCRETE PIPE INLET	7.0 LF	275.00	1,925.00
88	720110	SMALL-ROCK SLOPE PROTECTION	1.9 CY	120.00	228.00
89	720118	ROCK SLOPE PROTECTION (2T, METHOD A)	1,610.0 TON	50.00	80,500.00
90	720122	ROCK SLOPE PROTECTION (1/2 T, METHOD A) (TON)	2,770.0 TON	32.00	88,640.00
91	721026	ROCK SLOPE PROTECTION (NO. 1, METHOD B) (CY)	9.0 CY	170.00	1,530.00
92	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	900.0 SQYD	1.25	1,125.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
93	028544	GEOMEMBRANE	12.0 SQYD	17.00	204.00
94	730070	DETECTABLE WARNING SURFACE	860.0 SQFT	30.95	26,617.00
95	731504	MINOR CONCRETE (CURB AND GUTTER)	71.0 CY	537.00	38,127.00
96	731521	MINOR CONCRETE (SIDEWALK)	80.0 CY	709.00	56,720.00
97	733000	PRE/POST CONSTRUCTION SURVEYS	26.0 EA	990.00	25,740.00
98 (F)	750001	MISCELLANEOUS IRON AND STEEL	1,888.0 LB	2.00	3,776.00
99	028545	ADJUST UTILITY FRAME AND COVER	99.0 EA	770.00	76,230.00
100	152469	ADJUST UTILITY COVER TO GRADE	9.0 EA	1,575.00	14,175.00
101	028546	RESET FIRE HYDRANT	2.0 EA	3,900.00	7,800.00
102	820108	DELINEATOR (CLASS 2)	1,090.0 EA	37.00	40,330.00
103	820110	MILEPOST MARKER	34.0 EA	40.00	1,360.00
104	820112	MARKER (CULVERT)	27.0 EA	37.00	999.00

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
105	820130	OBJECT MARKER	110.0 EA	37.00	4,070.00
106	839514	HANDRAILING	33.0 LF	350.00	11,550.00
107	028547	TRANSITION RAILING (TYPE WB)	8.0 EA	3,000.00	24,000.00
108	840515	THERMOPLASTIC PAVEMENT MARKING	7,070.0 SQFT	4.50	31,815.00
109	028548	PREFORMED THERMOPLASTIC PAVEMENT MARKING MATERIAL (TRAFFIC CALMING)	7,610.0 SQFT	13.30	101,213.00
110	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	294,000.0 LF	0.23	67,620.00
111	850122	PAVEMENT MARKER (RETROREFLECTIVE-RECESSE D)	7,110.0 EA	6.00	42,660.00
112	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	1,500.00
113	860401	LIGHTING	LUMP SUM LS	LUMP SUM	17,000.00
114	860889	MODIFY TRAFFIC MONITORING STATION	LUMP SUM LS	LUMP SUM	9,820.00
115	028549	MODIFY ROADSIDE WEATHER INFORMATION SYSTEM	LUMP SUM LS	LUMP SUM	51,465.00

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
116	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	500,000.00
Total Bid					\$9,674,441.00

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 1) Business Name Ward's Concrete, Inc.  
Location City Anderson State CA  
California Contractor License Number 348143  
CA Department of Industrial Relations' Registration Number 100000562  
Portion of Work Subcontracted:

Item %	Description
94 100	Detectable Warning Surface
95 100	Minor Concrete, Curb and Gutter
96 100	Minor Concrete, Sidewalk

List this sub? Yes

- 2) Business Name M&S Environmental Landscapes, Inc.  
Location City Redding State CA  
California Contractor License Number 751931  
CA Department of Industrial Relations' Registration Number 1000010084  
Portion of Work Subcontracted:

Item %	Description
46 100	Erosion Control (Dry Seed)
47 100	Straw

List this sub? Yes

- 3) Business Name A.C. Dike Co.  
Location City Lincoln State CA  
California Contractor License Number 407417  
CA Department of Industrial Relations' Registration Number 1000005929  
Portion of Work Subcontracted:

Item %	Description
55 100	Place Hot Mix Asphalt Dike (Type A)
56 100	Place Hot Mix Asphalt Dike (Type D)
57 100	Place Hot Mix Asphalt Dike (Type E)
58 100	Place Hot Mix Asphalt Dike (Type F)

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DES-OE-0102.2B (REV 5/2014)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 4) Business Name Apex Fence Co., Inc.  
Location City Anderson State CA  
California Contractor License Number 0022365  
CA Department of Industrial Relations' Registration Number 1000007379  
Portion of Work Subcontracted:

Item %	Description
14 100	Treated Wood Waste
28 100	Reconstruct Guardrail (7' Post)
29 100	Reconstruct Guardrail
30 100	Reconstruct Terminal System (Type ET)
31 100	Reconstruct Terminal System (Type SRT)

List this sub? Yes

- 5) Business Name Apex Fence Co., Inc.  
Location City Anderson State CA  
California Contractor License Number 0022365  
CA Department of Industrial Relations' Registration Number 1000007379  
Portion of Work Subcontracted:

Item %	Description
33 100	Adjust Guardrail
74 100	Stain Galvanized Surfaces
102 100	Delineator
103 100	Milepost Marker
104 100	Marker Culvert

List this sub? Yes

- 6) Business Name Apex Fence Co., Inc.  
Location City Anderson State CA  
California Contractor License Number 0022365  
CA Department of Industrial Relations' Registration Number 1000007379  
Portion of Work Subcontracted:

Item %	Description
105 100	Object Marker
106 100	Handrailing
107 100	Transition Railing (Type WB)
61 53.11	Minor Concrete (Minor Structures) (Partial)

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DES-OE-0102.2B (REV 5/2014)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

7) Business Name Michels Corporation DBA Michels Pipeline Construction  
Location City Salem State OR  
California Contractor License Number 553617  
CA Department of Industrial Relations' Registration Number 1000005493  
Portion of Work Subcontracted:

Item %	Description
40 100	Cleaning, Inspecting, Preparing Culvert
42 100	24" Cured-In-Place Pipeliner
116 2.67	Mobilization (Partial)

List this sub? Yes

8) Business Name Northern Industrial Electric  
Location City Redding State CA  
California Contractor License Number 291649  
CA Department of Industrial Relations' Registration Number 1000008129  
Portion of Work Subcontracted:

Item %	Description
112 100	Maintain Existing TMS Elements During Construction
113 100	Lighting
114 100	Modify Traffic Monitoring Station
115 100	Modify Roadside Weather Information System

List this sub? Yes

9) Business Name Apply-A-Line, Inc.  
Location City Anderson State CA  
California Contractor License Number 562762  
CA Department of Industrial Relations' Registration Number 1000003300  
Portion of Work Subcontracted:

Item %	Description
1 100	Lead Plan (Rem Stripe Cold Plane)
17 100	Rem Thermo PM
75 100	Paint Curb (2 Coat)
108 100	Thermo PM
109 100	Preformed Thermo PM

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DES-OE-0102.2B (REV 5/2014)

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Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

10) Business Name Apply-A-Line, Inc.

Location City Anderson State CA

California Contractor License Number 562762

CA Department of Industrial Relations' Registration Number 1000003300

Portion of Work Subcontracted:

Item %	Description
110 100	Sprayable Thermo
111 100	Recessed Reflectors
116 .76	Mobilization (Partial)

List this sub? Yes

11) Business Name Anrak Corporation

Location City Sacramento State CA

California Contractor License Number 256390

CA Department of Industrial Relations' Registration Number 1000002952

Portion of Work Subcontracted:

Item %	Description
34 48.64	Cold Plane Asphalt Concrete Pavement (Partial)

List this sub? Yes

12) Business Name Independent Structures, Inc.

Location City Redding State CA

California Contractor License Number 951126

CA Department of Industrial Relations' Registration Number 1000008422

Portion of Work Subcontracted:

Item %	Description
4 100	Construction Area Signs
104 100	Marker Culvert
105 100	Object Marker
18 100	Remove Roadside Signs
19 100	Remove Sign Panel

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DES-OE-0102.2B (REV 5/2014)

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Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

13) Business Name Independent Structures, Inc.

Location City Redding State CA

California Contractor License Number 951126

CA Department of Industrial Relations' Registration Number 1000008422

Portion of Work Subcontracted:

Item %	Description
32 100	Reset Roadside Sign
45 100	Assemble and Install Bench
65 100	Furnish Single Sheet Alum Sign (0.063-Unframed)
66 100	Furnish Single Sheet Alum Sign (0.063-Unframed for Type XI Sheeting)
67 100	Furnish Single Sheet Alum Sign (0.080-Unframed for Type XI Sheeting)

List this sub? Yes

14) Business Name Independent Structures, Inc.

Location City Redding State CA

California Contractor License Number 951126

CA Department of Industrial Relations' Registration Number 1000008422

Portion of Work Subcontracted:

Item %	Description
68 100	Furnish Single Sheet Alum Sign (0.063-Framed for Type XI Sheeting)
69 100	Retroreflective Sheeting Type XI
70 100	Roadside Sign One Post
71 100	Roadside Sign Two Post
72 100	Install Sign Strap and Saddle

List this sub? Yes

15) Business Name Independent Structures, Inc.

Location City Redding State CA

California Contractor License Number 951126

CA Department of Industrial Relations' Registration Number 1000008422

Portion of Work Subcontracted:

Item %	Description
73 100	Install Roadside Sign on Existing Post
102 100	Delineator Class 2
103 100	Mile Marker Post

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ADDITIONAL SUBCONTRACTOR LIST 1

DES-OE-0102.2B (REV 5/2014)

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Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

16) Business Name Pinnacle Grinding and Grooving

Location City Reno State NV

California Contractor License Number 995542

CA Department of Industrial Relations' Registration Number 1000015174

Portion of Work Subcontracted:

Item %	Description
25 100	Remove Polyester Concrete Overlay

List this sub? Yes

17) Business Name California Land Surveying

Location City Three Rivers State CA

California Contractor License Number N/A

CA Department of Industrial Relations' Registration Number 1000015636

Portion of Work Subcontracted:

Item %	Description
97 100	Survey Concrete Curbs and Sidewalks



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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 04/08/15 Bidder's Name: J.F. Shea Construction, Inc. - Ed Kernaghan

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name: Ed Kernaghan

Title: Vice President

Telephone No.: 530-246-4292

DATE: 04/08/2015

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder J.F. Shea Construction, Inc., proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-3E7714 Project ID: 0215000046

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

---

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Shasta

Name Ed Kernaghan,

being first duly sworn, deposes and says that he or she is

Vice President of

J.F. Shea Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

**VIOLATION OF LAW OR A SAFETY REGULATION**

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

---

**ANTITRUST LAW**

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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**PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS**

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN TRINITY COUNTY AT AND NEAR JUNCTION CITY AND WEAVERVILLE FROM NORTH FORK TRINITY RIVER BRIDGE TO 0.1 MILE EAST OF INDUSTRIAL ROAD In District 02 on Route 299 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 04-08-15.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)



State of California, Department of Transportation - Chris Rice

**Bond Number: SCA15484559**

**Contractor Information**

**Principal:** J. F. Shea Construction, Inc. 530-246-4292

**Address:** P. O. Box 494519 Redding California 96049 United States

**Contractor's State Vendor ID Number:** VC0000022972

**Owner/Obligee Information**

**Bond Form:** Bid Bond in accordance with Contract Specifications

**Owner / Obligee:** State of California, Department of Transportation

**Address:** 1727 30th Street, Bidders' Exchange, MS 26 Sacramento California 95816 United States

**Bond Information**

**Surety:** Liberty Mutual Insurance Company

**Rider Present:** [Click here to view](#)

**Amount of Bid Security:** 10%

**Contract ID Number:** 02-3E7714

**Description of Job:** HMA Paving, ADA Ramps, Polyester Concrete and Guardrail. FOR CONSTRUCTION ON STATE HIGHWAY IN TRINITY COUNTY AT AND NEAR JUNCTION CITY AND WEAVERVILLE FROM NORTH FORK TRINITY RIVER BRIDGE TO 0.1 MILE EAST OF INDUSTRIAL ROAD.

[View AMBest Information](#)   [View Surety Profile](#)   [Treasury List](#)

**Primary Agency:**

Aon Risk Insurance Services West, Inc.

Power of Attorney Limited to: No Stated Limit

**Executed**

**Executed By:**

Simone Gerhard - 2/23/2015 6:43:26 PM ET

Phone: 213-630-1386

Email: simone.gerhard@aon.com

Know all men by these presents that Liberty Mutual Insurance Company, a Corporation duly organized under the laws of the State of Massachusetts, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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