

# Second Bidder

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**CERTIFIED DVBE SUMMARY**  
 DES-OE-0102.5 (REV 3/2008)

RECEIVED  
 CALTRANS  
 PROCUREMENT & CONTRACTS

DISTRICT-COUNTY-ROUTE: 01 - LAK - 29 13 APR 19 PM 1:37  
 CONTRACT NO.: 01-491214  
 TOTAL BID: \$ 1,305,000.-  
 BID OPENING DATE: 4/17/13  
 BIDDER'S NAME: Synergy Project Management, Inc.  
 DVBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> \_\_\_\_\_

Bid Item Number	Description of Work to Be Subcontracted to DVBE or Materials to Be Supplied by DVBE <sup>2</sup>	For Caltrans Only	DVBE (Name, Telephone No., and Certification No.)	\$ Amount
9	Trucking & Related (partial) Route 29		DVBE Trucking & Construction Company, Inc. 408-971-4430	1386.-
12	Trucking & Related (partial) Route 29			2125.-
14	Trucking & Related (partial) Route 29		DVBE # 41891	36920.-
Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractor List (Pub Cont Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.				<b>Total Claimed Participation</b> \$ <u>40,431.<sup>00</sup></u> <u>3.1</u> %

- DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).
- If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Submit to:

MSC 43  
 OFFICE ENGINEER  
 DEPARTMENT OF TRANSPORTATION  
 1727 30TH STREET  
 SACRAMENTO, CA 95816-7005

  
 Signature of Bidder  
 Date: 4/19/13  
(415) 467-3000  
 (Area Code) Telephone Number  
Manuel Arce  
 Contact Person (Type or Print)

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.



*Handwritten signature*

General Engineering Contractors  
Contractor's License #848175  
1217 Dell Ave, Campbell CA 95008  
Office 408-971-4430 Fax 408-370-3551

**Disabled Veteran Business Enterprise #41891 Small Business Enterprise #41891**

**Date:** 4/16/2013  
**Attention:** Estimator  
**From:** DVBE Construction & Trucking Email: [mark@dvbeconstruction.com](mailto:mark@dvbeconstruction.com)  
**Project:** 01-491214-Bonded Wearing Course, Lake County

**Hourly Trucking**

<u>Equipment Type</u>	<u>Monday – Friday</u>	<u>Saturday/Nights</u>	<u>Sunday</u>
Semi End Dumps	\$100.00 per hour	\$105.00 per hour	\$110.00 per hour
Transfers	\$100.00 per hour	\$105.00 per hour	\$110.00 per hour
10 Wheelers	\$90.00 per hour	\$95.00 per hour	\$99.00 per hour
Bottom Dumps	\$100.00 per hour	\$105.00 per hour	\$110.00 per hour
Super Dumps	\$106.00 per hour	\$111.00 per hour	\$115.00 per hour
Water Trucks:			
2 Axle	\$110.00 per hour	\$116.00 per hour	\$121.00 per hour
3 Axle	\$114.00 per hour	\$120.00 per hour	\$125.00 per hour

*40/K*

**Per Diem and Travel Time will apply**

**Per Diem will be assessed at based on location, \$110.00 Per Driver, Per Night.**

**Travel time for this location will be assessed at the hourly rate portal to portal. Approximate trip time is 7 hours and 30 min round trip.**

**Terms and Conditions** All night work will be billed at a 6 hour minimum plus clean out if applicable. Projects that require short shifts will be billed at a 6 hour minimum, plus applicable travel time. Open Grade or Rubberized Asphalt requires an additional hour cleanout at the end of each shift. Cancellations: DVBE must be notified at least 24 hours prior to scheduled start time. Anything after will be billed a 4 hour minimum plus applicable travel time. Travel time: unless otherwise specified DVBE Trucks will be compensated at the hourly rate Portal to Portal. Contractor is responsible for any tolls incurred. Per Diem Charges will apply on a per driver per night basis for any jobs that require trucks to remain further than 40 miles from the home station over night. Prevailing Wage: If the Contractor requires that DVBE Trucking and company owned trucks are to be used on the job and prevailing wage is required, the hourly rate will be increase by 35% for Prevailing Wage, and for payroll reporting requirements a nominal fee will apply. If Teamsters are required the hourly rate will increase by the pre negotiated percentage. Prices are good through Sep, 2013. There will be a 5% increase annually thereafter. Please sign and Fax back to secure price. This quote is good for 30 days. All payments are due 30 days from the invoice date.

Thank You for the opportunity to do business with your company.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES PROCUREMENT DIVISION

**DISABLED VETERAN BUSINESS ENTERPRISE DECLARATIONS**

STD. 843 (Rev. 5/2006)

**Instructions:** The disabled veteran (DV) owner(s) and DV manager(s) of the Disabled Veteran Business Enterprise (DVBE) must complete this declaration when a DVBE contractor or subcontractor will provide materials, supplies, services or equipment [Military and Veterans Code Section 999.2]. Violations are misdemeanors and punishable by imprisonment or fine and violators are liable for civil penalties. All signatures are made under penalty of perjury.

**SECTION 1**

Name of certified DVBE: DVBE Trucking and Construction Co, Inc. DVBE Ref. Number: 41891  
Description (materials/supplies/services/equipment proposed): Trucking Services  
Solicitation/Contract Number: \_\_\_\_\_ SCPRS Ref. Number: \_\_\_\_\_  
(FOR STATE USE ONLY)

**SECTION 2**

**APPLIES TO ALL DVBEs. Check only one box in Section 2 and provide original signatures.**

- I (we) declare that the DVBE is not a broker or agent, as defined in Military and Veterans Code Section 999.2 (b), of materials, supplies, services or equipment listed above. Also, complete Section 3 below if renting equipment.
- Pursuant to Military and Veterans Code Section 999.2 (f), I (we) declare that the DVBE is a broker or agent for the principal(s) listed below or on an attached sheet(s). (Pursuant to Military and Veterans Code 999.2 (e), State funds expended for equipment rented from equipment brokers pursuant to contracts awarded under this section shall not be credited toward the 3-percent DVBE participation goal.)

All DV owners and managers of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

<u>Herbert R Lavender Jr-Owner</u> (Printed Name of DV Owner/Manager)	 (Signature of DV Owner/ Manager)	<u>5/1/13</u> (Date Signed)
_____ (Printed Name of DV Owner/Manager)	_____ (Signature of DV Owner/Manager)	_____ (Date Signed)

Firm/Principal for whom the DVBE is acting as a broker or agent: \_\_\_\_\_  
(If more than one firm, list on extra sheets.) (Print or Type Name)

Firm/Principal Phone: \_\_\_\_\_ Address: \_\_\_\_\_

**SECTION 3**

**APPLIES TO ALL DVBEs THAT RENT EQUIPMENT AND DECLARE THE DVBE IS NOT A BROKER.**

- Pursuant to Military and Veterans Code Section 999.2 (c), (d) and (g), I am (we are) the DV(s) with at least 51% ownership of the DVBE, or a DV manager(s) of the DVBE. The DVBE maintains certification requirements in accordance with Military and Veterans Code Section 999 et. seq.
- The undersigned owner(s) own(s) at least 51% of the quantity and value of each piece of equipment that will be rented for use in the contract identified above. I (we), the DV owners of the equipment, have submitted to the administering agency my (our) personal federal tax return(s) at time of certification and annually thereafter as defined in Military and Veterans Code 999.2, subsections (c) and (g). Failure by the disabled veteran equipment owner(s) to submit their personal federal tax return(s) to the administering agency as defined in Military and Veterans Code 999.2, subsections (c) and (g), will result in the DVBE being deemed an equipment broker.

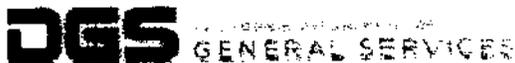
Disabled Veteran Owner(s) of the DVBE (attach additional pages with signature blocks for each person to sign):

_____ (Printed Name)	_____ (Signature)	_____ (Date Signed)
_____ (Address of Owner)	_____ (Telephone)	_____ (Tax Identification Number of Owner)

Disabled Veteran Manager(s) of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

_____ (Printed Name of DV Manager)	_____ (Signature of DV Manager)	_____ (Date Signed)
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Director: Edmund S. Brown Jr.

Apr 30, 2012

SB APP

Supplier #41891  
 DVBE TRUCKING & CONSTRUCTION CO INC  
 1217 DELL AVE  
 CAMPBELL CA 95008

Dear Business Person:

Congratulations on your Small Business (SB) certification with the State of California. Your business is now entitled to compete in the State's goal to spend 25 percent of its annual contracting dollars with small businesses. Each certified SB receives a five percent bid preference on applicable solicitations. This certification also guarantees higher interest penalties for late payment of undisputed invoices. You may purchase a rubber stamp by completing the Prompt Payment Rubber Stamp Order form at [www.documents.dgs.ca.gov/pd/smallbus/ppstampreq.pdf](http://www.documents.dgs.ca.gov/pd/smallbus/ppstampreq.pdf). For more information or to verify certification status, visit [www.eprocure.dgs.ca.gov](http://www.eprocure.dgs.ca.gov).

#### Certification Period

From Apr 30, 2012 to Apr 30, 2014

#### Business Types

Construction  
 Service

#### Conflict of Interest for Current and Former State Employees

*Prior to contract award, agencies will assure the vendor is in compliance with Public Contract Code, Section 10410 et seq. addressing conflict of interest for State employees or former employees.*

#### Annual Submission Requirement

Submit copies of the ENTIRE federal tax return to the Office of Small Business and DVBE Services (OSDS). If you have been granted a tax filing extension with the Internal Revenue Service, submit a copy of the extension form and annual financial statements; then, submit a copy of the tax return once filed. If you have employees, include the California Employment Development Department's "Quarterly Contribution Return and Report of Wages (Continuation)" (Form DE9C). If you have out-of-state employees, submit the employee documentation comparable to Form DE9C. These annual submissions also apply to all affiliated businesses.

#### Maintaining Your Online Certified Firm Profile

Visit [www.eprocure.dgs.ca.gov/default.htm](http://www.eprocure.dgs.ca.gov/default.htm) to update your certification profile. You may report changes to the following: mailing and principal office address; contact information; keywords and service areas; United Nations Standard Products and Services Codes, North American Industry Classification System (applicable only to

PROCUREMENT DIVISION - Small Business & DVBE Services | State of California | State Consumer Services Agency  
 707 3rd Street, 1st Floor, Room 400 | West Sacramento, CA 95605 | t 916.375.4940 f 916.375.4950

STATE OF CALIFORNIA - HIGHWAYS, TRANSPORTATION AND AERIALS AGENCY

CONTRACT EVALUATION UNIT

DEPARTMENT OF TRANSPORTATION  
OFFICE OF BUSINESS AND ECONOMIC OPPORTUNITY  
1800 J ST. SUITE 200  
SACRAMENTO, CA 95811  
PHONE (916) 227-1700  
TOLL FREE (866) 450-4346  
FAX (916) 224-0760  
TTY 311

Fix your piece!  
Be on the job!

April 26, 2011

Hub Lavender  
DVBE TRUCKING  
1685 Almaden Road  
San Jose, CA 95172

RE: Disabled Veterans Business Enterprise (DVBE) Number 41891

Dear Mr. Lavender:

Congratulations! We are pleased to advise you of the results of the site visit conducted by the Department of Transportation (Caltrans), Contract Evaluation Unit (CEU) staff on February 01, 2011. The purpose of a site visit is to ensure all certified DVBE firms that bid and provide services on Caltrans State funded projects are performing a commercial useful function (CUF). Caltrans initiated the affirmative step to verify CUF for DVBEs on Caltrans State funded projects and your firm was selected for a CUF review. After careful evaluation of the information and documentation obtained as a result of the site visit, pursuant to Military & Veterans Code 99902(b)(3)(i) and (ii), your firm performs a CUF when providing the following type of service.

781018	Road cargo transport
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You may review your firm's information on the Department of General Services (DGS) website at <http://www.bidproc.com/DVBE/CASE>. It is your responsibility to maintain correct information regarding your firm's name, address, phone/fax number, and e-mail address on the DGS website.

Please notify us immediately if any changes occur regarding your classification numbers or the types of services you provide. Failure to do so may result in your firm being listed on a project for a service that does not constitute a CUF. Consequently, your firm's DVBE participation on a contract may be disallowed and would not be counted toward a DVBE goal.

For information on contracting opportunities with Caltrans, please visit our website at <http://www.dot.ca.gov/hq/es/oe/>.

Sincerely,

REG A. BERGMANN  
Manager, Contract Evaluation Unit

c: Department of General Services