

**DEPARTMENT OF TRANSPORTATION**  
DIVISION OF ENGINEERING SERVICES  
OFFICE ENGINEER, MS 43  
1727 30<sup>th</sup> STREET  
P. O. BOX 168041  
SACRAMENTO, CA 95816-8041  
PHONE (916) 227-6280  
FAX (916) 227-6282  
TTY 711



*Flex your power!  
Be energy efficient!*

May 22, 2013

Facsimile: (541) 664-1105

F. Dan Thomas, President  
F.D. Thomas, Inc.  
P.O. Box 4663  
Medford, OR 97501

01-0A4504  
01-Hum-96, 169, 299  
B.O. 05/01/2013

Dear Mr. Thomas:

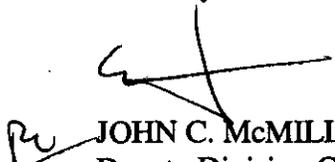
The Department of Transportation (Caltrans) received the attached Certified Disabled Veteran Business Enterprise (DVBE) Summary form on May 3, 2013 for Contract No. 01-0A4504 from F.D. Thomas, Inc. (FDT). Caltrans established a DVBE goal of 3 percent for this project. FDT claimed a DVBE commitment of 3.3 percent.

FDT listed (for credit toward the DVBE goal) Roll N' Rock (RNR) to provide work described as Flagging and Traffic Control System. The Office of Business and Economic Opportunity, Contract Evaluation Unit (CEU) concluded that RNR does not have the NAICS code required to perform Traffic Control. Therefore, the dollar amount claimed for credit will not be counted towards the DVBE goal pursuant to Military and Veterans Code §999(b)(5)(B)(I)(ac).

Based on the above finding, FDT did not meet the stated DVBE participation goal for this contract and is no longer eligible for award of this contract.

If you have any questions, please contact John Myers, Contract Evaluation Analyst, at [john\\_myers@dot.ca.gov](mailto:john_myers@dot.ca.gov) or (916) 324-0988.

Sincerely,

  
JOHN C. McMILLAN  
Deputy Division Chief  
Office Engineer  
Division of Engineering Services

Attachments

c: Roll N' Rock Construction

## Memorandum

*Flex your power!  
Be energy efficient!*

**To:** EARL SEABERG  
Chief, Office of Contracts & Awards  
Division of Engineering Services

**Date:** May 22, 2013

**From:** JOHN MYERS  
Analyst, Contract Evaluation Unit (CEU)  
Office of Business and Economic Opportunity 

**Subject:** Contract No.: 01-0A4504

The bid submitted by Certified Coatings Company for the above referenced contract listed (for credit towards the DVBE goal) Roll N' Rock Construction to provide traffic control system.

The certification of Roll N' Rock Construction does not have the NAICS code required to perform traffic control. Therefore, the dollar amount claimed for credit will not be counted towards the DVBE goal pursuant to Military and Veterans Code § 999(b)(5)(B)(i)(I)(ac).

If you have any questions/concerns, you may contact John Myers, Contract Evaluation Analyst, at (916) 324-0988 or by electronic mail at [john\\_myers@dot.ca.gov](mailto:john_myers@dot.ca.gov).

Please notify the prime contractor and the subcontractor.

Certified Coatings Company  
2320 Cordelia Road  
Fairfield, CA 94534

Roll N' Rock Construction  
825 Deetz Road  
Mount Shasta, CA 96067

JM

STATE OF CALIFORNIA · DEPARTMENT OF TRANSPORTATION  
**CERTIFIED DVBE SUMMARY**  
DES-CE-0102.5 (REV 3/2008)

Fourth Business Day, Mail or hand deliver

# Low Bidder

DISTRICT-COUNTY-ROUTE 01 Hum-96, 169, 299  
CONTRACT NO. 01-0A4504  
TOTAL BID: \$3,274,890.50  
BID OPENING DATE: 5/1/2013  
BIDDER'S NAME: F.D. Thomas, Inc.  
DVBE PRIME CONTRACTOR CERTIFICATION \* N/A

Bid Item Number	Description of Work to Be Subcontracted to DVBE or Materials to Be Supplied by DVBE *	For Caltrans Only	DVBE (Name, Telephone No., and Certification No.)	\$ Amount
3	Flagging/Traffic Control System		Roll 'N Rock	<del>3,274,890.50</del>
GOAL NOT MET <i>Grover Lee</i> CONTRACT EVALUATION MANAGER DATE: <u>5/15/13</u>				13 MAY 13 PM 2:2 RECEIVED

Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractor List (Pub Cont Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.

<b>Total Claimed Participation</b>	<del>\$ 3,274,890.50</del>
	<del>100</del> %
	<u>0.0</u> %

- DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).
- If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

*Grover Lee*  
Signature of Bidder Date: 5/1/2013

Submit to:

MSC 43  
OFFICE ENGINEER  
DEPARTMENT OF TRANSPORTATION  
1727 30TH STREET  
SACRAMENTO, CA 95816-7005

541-664-3010  
(Area Code) Telephone Number  
Grover Lee  
Contact Person (Type or Print)

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 664-6410 or TDD (916) 664-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

01-03-13P01415 RCV2

**\*\* Transmit Conf. Report \*\***

P. 1  
CALTRANS CONTR AWARDS Fax 916-227-6282

May 22 2013 04:59pm

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STATE OF CALIFORNIA—BUSINESS, TRANSPORTATION AND HOUSING AGENCY

EDMUND G. BROWN Jr., Governor

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JOHN C. McMILLAN  
Deputy Division Chief  
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Division of Engineering Services

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c: Roll N' Rock Construction