Exhibit 13-B Low-Risk (Formerly “Minor”) ITS Projects

PLANNING PHASE

Start

Use regular federal-aid procedures

Is proposed project an ITS project or has an ITS component per Local Agency field review checklist?

Yes

Local Agency submits project for FTIP listing to MPO/RTPA. Opportunity for MPO/RTPA to review consistency with the RA.

FHWA reviews & approves FSTIP

No

Use regular federal-aid procedures

When satisfied, the MPO/RTPA submits FTIP to Caltrans HQ for inclusion in FSTIP

Caltrans HQ submits FSTIP to FHWA for FSTIP approval.

PROJECT DEVELOPMENT PHASE

Local Agency submits PE request package to DLAE. (Includes completed field review form with SERF).

DLAE forwards copy of field review with SERF to DLA ITS Coordinator.

DLAE forwards PE package and submits E-76 for PE to DLA Implementation.

DLAE issues authorization to proceed with PE

Local Agency proceeds with PE.

CONSTRUCTION PHASE

Local Agency submits PS&E request package to DLAE.

DLAE submits E-76 and PS&E package DLA Implementation

DLA implementation obligates funds by transmitting E-76 to FHWA for obligation.

DLAE issues authorization to proceed with construction.

Upon completion of project, Local Agency completes a Final Inspection Form 17C, submits it to DLAE.

DLAE reviews completed project and signs off on the Form 17-C. Beyond this point, normal federal-aid procedures for closing project.

Note:
- This flow chart process does not apply to the earmarked ITS Deployment Projects (QT80 projects).
- Minor ITS projects will follow the above traditional single phased PE procedures.
- No FHWA oversight for procedure shown on this flowchart (SERF review and SEMP approval not required).
- State-Authorized for E-76.
- For simplicity, the right of way phase is not shown in this chart. If right of way is involved, refer to Chapter 13, "Right of Way," of the LAPM for information and procedures.