

**Caltrans Audits and Investigations
ORGANIZATION CHART
March 2017**

Director, Malcolm Dougherty

Chief Deputy Director, Kome Ajise

The following person reports to the Director and Chief Deputy Director:

William E. Lewis, Assistant Director, Audits and Investigations

Caltrans Mission - Provide a safe, sustainable, integrated and efficient transportation system to enhance California's economy and livability.

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Assistant Director, William E. Lewis, (916) 323-7122

The following persons report to the Assistant Director:

External Audits – Local Governments, Supervising Management Auditor, MarSue Morrill, (916) 323-7105

- Indirect cost allocation plan submission reviews and audits of local governmental agencies, including Cities, Counties, Special Districts, Metropolitan Planning Organization and Regional Transportation Planning Agencies
- Incurred Cost and pre-award audits of local government agencies
- Special audits of local government agencies as requested and funded by departmental managers

External Audits – Contracts, Supervising Management Auditor, Alice Lee, (916) 323-7953

- Financial and performance audits and reviews of contracts between both Caltrans and local government agencies, and external entities
- Single audit finding reviews of public entities, which receive financial assistance from the Department
- Statutorily required audits of Proposition 1B projects

Internal Audits – Supervising Management Auditor, Zilan Chen, (916) 323-7877

- Statutorily mandated audits and departmental risk assessment resulting in audits of processes based on assessed risk
- Audits required by Interagency agreements with other State agencies
- Operational audits
- Internal quality control reviews to ensure compliance with auditing standards
- Coordination and monitoring of audit activity performed by external audit agencies (Deputy Directive 77)
- Special audits requested by departmental managers
- Special audits of external contract entities as requested and funded by departmental managers

Investigations – Supervising Special Investigator, Judy Yamada, (916) 327-3600

- Administrative investigations into employee misconduct involving fraud, waste, abuse and mismanagement
- Investigation and response to “Whistleblower Hot Line” complaints referred by the California State Auditor, and coordination of the Department’s response to investigations when performed by the California State Auditor’s Office
- Coordination of investigative activities by external control and law enforcement entities, including communication with departmental management and coordinator of departmental responses

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Assistant Director, William E. Lewis

The following persons report to the Assistant Director:

- Supervising Management Auditor, Alice Lee
- Supervising Management Auditor, MarSue Morrill
- Supervising Management Auditor, Zilan Chen
- Supervising Special Investigator, Judy Yamada
- Executive Assistant – Michelle Fouch

The following persons report to Supervising Management Auditor, Alice Lee:

- Linda Laubinger, Senior Management Auditor
- Nancy Shaul, Senior Management Auditor
- Luisa Ruvalcaba, Senior Management Auditor

The following persons report to Senior Management Auditor, Linda Laubinger:

- Mohammad Eslamian, Staff Management Auditor, Specialist
- Chantha Da, Staff Management Auditor, Specialist
- Fifie Van Dusen, Staff Management Auditor, Specialist
- Felicia VillaFuerte, Associate Management Auditor
- Charles Antwi, Staff Services Management Auditor
- Alyssa Chao, Staff Services Management Auditor
- Vacancy, Associate Management Auditor

The following persons report to Senior Management Auditor, Nancy Shaul:

- Annabel Alvarez, Staff Management Auditor, Specialist
- Kyungsun Gardiner, Staff Management Auditor, Specialist
- Teresa Esquivel, Staff Management Auditor, Specialist
- Melody Pang, Associate Management Auditor
- Nicholas Plaza, Staff Services Management Auditor

The following persons report to Senior Management Auditor, Luisa Ruvalcaba:

- Gerald Lee, Staff Management Auditor, Specialist
- Hei “Leon” Ly, Associate Management Auditor
- Sukhraj Kaur, Staff Management Auditor, Specialist
- Elena Guerrero, Staff Management Auditor, Specialist
- Nathan Oates, Associate Management Auditor
- Ngoc Bui, Associate Management Auditor
- Mike Ota, (Retired Annuitant) Associate Management Auditor

The following persons report to Supervising Management Auditor, MarSue Morrill:

Teresa Greisen, .50, Senior Management Auditor
Amada Maenpaa, .50, Senior Management Auditor
Tami Gill, Senior Management Auditor
Cliff Vose, Senior Management Auditor

The following persons report to Senior Management Auditors, Teresa Greisen, and Amada Maenpaa:

Lynn Carrier, Staff Management Auditor, Specialist
Carvin Seals, Staff Management Auditor, Specialist
Jose Hernandez, Associate Management Auditor
Vincent Miranda, Staff Services Management Auditor

The following persons report to Senior Management Auditor, Tami Gill:

Yung Jo Ryoo, Staff Management Auditor, Specialist
Mandy Ip, Staff Management Auditor, Specialist
Nancy Serrato, Staff Services Management Auditor
Sheron Dikousman, Staff Services Management Auditor

The following persons report to Senior Management Auditor, Cliff Vose:

Lisa Moreno, Staff Management Auditor, Specialist
Barbara Nolan, Staff Management Auditor, Specialist
Sharon Hoshiyama, Associate Management Auditor
Lin Zhang, Staff Services Management Auditor
Vacancy, Associate Management Auditor
Vacancy, Associate Management Auditor

The following persons report to Supervising Management Auditor, Zilan Chen:

Kevin Yee, Senior Management Auditor
David Wong (Acting), Senior Management Auditor
Douglas Gibson, Senior Management Auditor
Roger Takao, Associate Governmental Program Analyst
Tony Willert, Associate Governmental Program Analyst

The following persons report to Senior Management Auditor, Kevin Yee:

David Wong, Staff Management Auditor, Specialist
Veronica Kaldani, Staff Management Auditor, Specialist
Phet Bouthavong, Staff Management Auditor, Specialist
Eugene Ezimora, Associate Management Auditor
Jose Camacho, Staff Services Management Auditor

The following persons report to Senior Management Auditor, David Wong (Acting):

Janice Ang, Staff Management Auditor, Specialist
Riyad Hamdan, Staff Management Auditor, Specialist
Vacancy, Staff Management Auditor, Specialist
Vacancy, Associate Management Auditor

Monte Laskosky, Associate Management Auditor

Randy Braun, (Retired Annuitant) Staff Management Auditor, Specialist

The following persons report to Senior Management Auditor, Douglas Gibson:

Teresa Draeger, Staff Management Auditor, Specialist

Edgar Diaz, Staff Management Auditor, Specialist

Amy Norwood, Staff Management Auditor, Specialist

Ramzi Mazhar, Associate Management Auditor

Vacancy, Associate Management Auditor

The following persons report to the Supervising Special Investigator, Judy Yamada:

Dawn Beyer, Special Investigator

Nicole Valdez, Special Investigator

BillyJean Uganiza, Special Investigator

Dawn Kammerer, Special Investigator

Dennis Fenton, Special Investigator

Kristen Kai, Special Investigator

Lai Huynh, (Permanent Intermittent), Special Investigator

Vacancy, (Permanent Intermittent), Special Investigator

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