



FFY 2023-24
March 2024

What is a Commitment At Award (CAA) Report?

The CAA is a monthly report that identifies contracts awarded to prime contractors/consultants and Disadvantaged Business Enterprise (DBE) subcontractors/sub-consultants, as shown on commitment forms, for each federal-aid contract awarded in the current federal fiscal year. The CAA breaks down DBE subcontractors/sub-consultants by ethnicity/gender and the dollar amount for each group.

There are five division-specific CAA reports: the Office of Engineers (OE), Division of Local Assistance (DLA), Division of Procurement and Contracts (DPAC), Design-Build (DB), and Contract Management General Contracting (CMGC), and Division of Transportation Planning (DOTP).

Table 1: Year-to-Date: Total DBE Commitment at Award Percentages

ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	22.38%	18.68%	3.69%

DBE Participation (Caltrans' overall numbers as of March 31, 2024)

- Caltrans has awarded 136 Federal Highway Administration (FHWA)-assisted contracts (OE, DPAC, DLA, DOTP, DB, and CMGC contracts) in FFY 2023-24, valued at \$1,803,553,836.61.
 - Total DBE commitment at award is \$403,545,657.58 or 22.38 percent.
- Caltrans has awarded 135 FHWA-assisted contracts (OE, DPAC, DLA, DOTP contracts) in FFY 2023-24, valued at \$1,731,033,836.61.
 - Total DBE commitment at award is \$388,819,417.91 or 22.46 percent.
- Caltrans has awarded 1 FHWA-assisted CMGC contract in FFY 2023-24, valued at \$72,520,000.00.
 - Total DBE commitment at award is \$14,726,239.67 or 20.31 percent.

DBE Participation (Caltrans' A&E-only numbers as of March 31, 2024)

- Caltrans has awarded 22 FHWA-assisted A&E contracts in FFY 2023-24, valued at \$273,086,192.00.
 - Total DBE commitment at award is \$61,872,822.95 or 22.66% percent.



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DBE PARTICIPATION – ALL DIVISIONS (OE, DPAC, DLA, DOTP, DB & CMGC)

Table 2: DBE Participation of All Divisions

	OE, DPAC, DLA & DOTP Dollars	Design Build Dollars	CMGC Dollars	Total of OE, DPAC, DLA, DOTP & DB/CMGC Dollars
Total Number of Contracts	135	0	1	136
Total Contract Award Amount	\$1,731,033,836.61	\$0.00	\$72,520,000.00	\$1,803,553,836.61
Total DBE Commitment Amount	\$388,819,417.91	\$0.00	\$14,726,239.67	\$403,545,657.58
Total DBE Commitment %	22.46%	0.00%	20.31%	22.38%

Below is the Race Conscious/Race Neutral breakdown by division. The Division data is separated to show a more accurate representation of the Monthly Commitment at Award participation percentages.

Table 3: Year-to-Date: DBE Commitment at Award Percentages of All Divisions

OE, DPAC, DLA & DOTP						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	OE, DPAC, DLA & DOTP	22.46%	18.62%	3.85%
DESIGN BUILD						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	DESIGN BUILD	0.00%	0.00%	0.00%
CONSTRUCTION MANAGEMENT GENERAL CONTRACTING						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	CMGC	20.31%	20.31%	0.00%
ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	22.38%	18.68%	3.69%



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The following tables identify each division's Commitment at Award by Socially & Economically Disadvantaged (SED) Group, compared to the Caltrans Disparity Study for the 2023-24 Federal Fiscal Year (FFY).

**All Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP, DB, CMGC
Award Dollars: \$1,803,553,836.61 (10/1/2023 – 3/31/2024)**

Table 4: Federally Funded Contracts by Division (OE, DPAC, DLA, DOTP, DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$18,336,180.62	\$447,895.11	\$0.00	\$0.00	\$810,266.00	\$0.00
Asian Pacific	\$20,183,097.62	\$17,355,785.70	\$516,738.33	\$0.00	\$4,846,738.43	\$0.00
Sub-Continent Asian	\$32,982,010.35	\$11,430,398.65	\$260,936.48	\$0.00	\$903,244.00	\$0.00
Hispanic	\$132,176,272.35	\$21,584,450.53	\$11,546,648.78	\$0.00	\$1,210,809.81	\$0.00
Native American	\$15,869,792.92	\$180,000.01	\$1,503,324.73	\$0.00	\$0.00	\$0.00
Non-Minority Women Owned	\$84,125,759.67	\$10,874,292.95	\$8,060,242.21	\$34,665.00	\$6,955,181.43	\$0.00
Non-Minority Men	\$1,350,925.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
YTD TOTALS	\$305,024,039.43	\$61,872,822.95	\$21,887,890.53	\$34,665.00	\$14,726,239.67	\$0.00

**All Federally Funded Contracts by Division
OE, DPAC, DLA, DOTP, DB, CMGC
Award Dollars: \$1,803,553,836.61 (10/1/2023 – 3/31/2024)**

Table 5: Federally Funded Contracts by SED Group (OE, DPAC, DLA, DOTP, DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$19,594,341.73	37	1.09%	1.44%	-0.35%	-\$6,376,833.52
Asian Pacific	\$42,902,360.08	94	2.38%	2.73%	-0.35%	-\$6,334,659.66
Sub-Continent Asian	\$45,576,589.48	49	2.53%	1.32%	1.21%	\$21,769,678.84
Hispanic	\$166,518,181.47	301	9.23%	10.01%	-0.78%	-\$14,017,557.57
Native American	\$17,553,117.66	30	0.97%	1.02%	-0.05%	-\$843,131.47
Non-Minority Women Owned	\$110,050,141.26	239	6.10%	5.71%	0.39%	\$7,067,217.19
Non-Minority Men	\$1,350,925.90	1	0.07%	-	0.07%	\$1,350,925.90
TOTALS	\$403,545,657.58	751	22.38%	22.2%	0.15%	\$2,615,639.70



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**Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP
Award Dollars: \$1,731,033,836.61 (10/1/2023 – 3/31/2024)**

Table 6: Federally Funded Contracts by Division (OE, DPAC, DLA, & DOTP)

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)
African American	\$18,336,180.62	\$447,895.11	\$0.00	\$0.00
Asian Pacific	\$20,183,097.62	\$17,355,785.70	\$516,738.33	\$0.00
Sub-Continent Asian	\$32,982,010.35	\$11,430,398.65	\$260,936.48	\$0.00
Hispanic	\$132,176,272.35	\$21,584,450.53	\$11,546,648.78	\$0.00
Native American	\$15,869,792.92	\$180,000.01	\$1,503,324.73	\$0.00
Non-Minority Women Owned	\$84,125,759.67	\$10,874,292.95	\$8,060,242.21	\$34,665.00
Non-Minority Men	\$1,350,925.90	\$0.00	\$0.00	\$0.00
YTD TOTALS	\$305,024,039.43	\$61,872,822.95	\$21,887,890.53	\$34,665.00

**Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP
Award Dollars: \$1,731,033,836.61 (10/1/2023 – 3/31/2024)**

Table 7: Federally Funded Contracts by SED Group (OE, DPAC, DLA, & DOTP)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$18,784,075.73	35	1.09%	1.44%	-0.35%	-\$6,142,811.52
Asian Pacific	\$38,055,621.65	89	2.20%	2.73%	-0.53%	-\$9,201,602.09
Sub-Continent Asian	\$44,673,345.48	48	2.58%	1.32%	1.26%	\$21,823,698.84
Hispanic	\$165,307,371.66	298	9.55%	10.01%	-0.46%	-\$7,969,115.38
Native American	\$17,553,117.66	30	1.01%	1.02%	-0.01%	-\$103,427.47
Non-Minority Women Owned	\$103,094,959.83	231	5.96%	5.71%	0.25%	\$4,252,927.76
Non-Minority Men	\$1,350,925.90	1	0.08%	-	0.08%	\$1,350,925.90
TOTALS	\$388,819,417.91	732	22.46%	22.2%	0.23%	\$4,010,596.03



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**Federally Funded Contracts by SED Group
DB/CMGC**

Award Dollars: \$72,520,000.00 (10/1/2023 – 3/31/2024)

Table 8: Federally Funded Contracts by Division (DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$810,266.00	\$0.00
Asian Pacific	\$4,846,738.43	\$0.00
Sub-Continent Asian	\$903,244.00	\$0.00
Hispanic	\$1,210,809.81	\$0.00
Native American	\$0.00	\$0.00
Non-Minority Women Owned	\$6,955,181.43	\$0.00
Non-Minority Men	\$0.00	\$0.00
YTD TOTALS	\$14,726,239.67	\$0.00

**Federally Funded Contracts by SED Group
DB/CMGC**

Award Dollars: \$72,520,000.00 (10/1/2023 – 3/31/2024)

Table 9: Federally Funded Contracts by SED Group (DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$810,266.00	2	1.12%	1.44%	-0.32%	-\$234,022.00
Asian Pacific	\$4,846,738.43	5	6.68%	2.73%	3.95%	\$2,866,942.43
Sub-Continent Asian	\$903,244.00	1	1.25%	1.32%	-0.07%	-\$54,020.00
Hispanic	\$1,210,809.81	3	1.67%	10.01%	-8.34%	-\$6,048,442.19
Native American	\$0.00	0	0.00%	1.02%	-1.02%	-\$739,704.00
Non-Minority Women Owned	\$6,955,181.43	8	9.59%	5.71%	3.88%	\$2,814,289.43
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$14,726,239.67	19	20.31%	22.2%	-1.9%	-\$1,394,956.33



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**Federally Funded Contracts by SED Group
A&E (DPAC)
Award Dollars: \$273,086,192.00 (10/1/2023 – 3/31/2024)**

Table 9: Federally Funded Contracts by Division (DPAC: A&E Contracts)

Socially & Economically Disadvantaged (SED) Group.	Division of Procurement & Contracts (DPAC)
African American	\$447,895.11
Asian Pacific	\$17,355,785.70
Sub-Continent Asian	\$11,430,398.65
Hispanic	\$21,584,450.53
Native American	\$180,000.01
Non-Minority Women Owned	\$10,874,292.95
Non-Minority Men	\$0.00
YTD TOTALS	\$61,872,822.95

**Federally Funded Contracts by Division
A&E (DPAC)
Award Dollars: \$273,086,192.00 (10/1/2023 – 3/31/2024)**

Table 10: Federally Funded Contracts by SED Group (DPAC: A&E Contracts)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$447,895.11	3	0.16%	1.44%	-1.28%	-\$3,484,546.05
Asian Pacific	\$17,355,785.70	35	6.36%	2.73%	3.63%	\$9,900,532.66
Sub-Continent Asian	\$11,430,398.65	13	4.19%	1.32%	2.87%	\$7,825,660.92
Hispanic	\$21,584,450.53	29	7.90%	10.01%	-2.11%	-\$5,751,477.29
Native American	\$180,000.01	2	0.07%	1.02%	-0.95%	-\$2,605,479.15
Non-Minority Women Owned	\$10,874,292.95	27	3.98%	5.71%	-1.73%	-\$4,718,928.61
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$61,872,822.95	109	22.66%	22.2%	0.43%	\$1,165,762.47



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Year-Over-Year Socially & Economically Disadvantaged Group Percentage Change from FFY 2021-22 through FFY 2023-24

The table below represents a three-year comparison of the reported month's total Commitment at Award percentage for each Socially & Economically Disadvantaged (SED) Group. Over the past three years, Caltrans overall participation has fluctuated. For March 2024, Caltrans overall participation was 22.38 percent. This is a 9.56 percent decrease below the March 2023 overall participation of 24.74 percent.

Table 11: Year-Over-Year: SED Group Percentage Change

Socially & Economically Disadvantaged Group (SED)	March 2022 CAA	March 2023 CAA	March 2024 CAA	DS Weighted Average
African American	1.08%	0.99%	1.09%	1.44%
Asian Pacific	1.69%	2.46%	2.38%	2.73%
Sub-Continent Asian	1.58%	2.22%	2.53%	1.32%
Hispanic	6.44%	7.36%	9.23%	10.01%
Native American	0.75%	2.85%	0.97%	1.02%
Non-Minority Women Owned	5.40%	8.86%	6.10%	5.71%
Non-Minority Men	0.01%	0.00%	0.07%	-
TOTALS	16.95%	24.74%	22.38%	22.23%
Totals Percentage Change from Previous Year	-	45.93%	-9.56%	-

Monthly Dashboard by Division

The following tables provide a snapshot of each division's overall goal attainment for the 2023-24 FFY.

Overall Commitment at Award Monthly Dashboard October 1, 2023 – March 31, 2024 (OE, DPAC, DLA & DOTP)

Table 12: Year-to-Date: SED Group Percentage Change (OE, DPAC, DLA, & DOTP)

Month	YTD Overall CAA	YTD Overall DBE Commitment Amount
October	20.02%	\$64,408,175.26
November	19.84%	\$108,886,537.80
December	20.85%	\$198,712,379.82
January	22.53%	\$278,472,538.02
February	22.09%	\$341,600,926.70
March	22.46%	\$388,819,417.91
April		
May		
June		
July		
August		
September		



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**Office of Engineers (OE)
Commitment at Award Monthly Dashboard
October 1, 2023 – March 31, 2024**

Table 13: Year-to-Date: SED Group Percentage Change (OE)

Month	YTD Construction CAA	YTD DBE Commitment Amount
October	20.45%	\$54,814,486.25
November	20.05%	\$95,286,782.50
December	21.96%	\$143,267,798.02
January	23.88%	\$219,947,956.22
February	22.92%	\$263,966,066.08
March	23.24%	\$305,024,039.43
April		
May		
June		
July		
August		
September		

**Division of Local Assistance (DLA)
Commitment at Award Monthly Dashboard
October 1, 2023 – March 31, 2024**

Table 14: Year-to-Date: SED Group Percentage Change (DLA)

Month	YTD DLA CAA	YTD DBE Commitment Amount
October	15.27%	\$4,305,022.41
November	14.74%	\$4,876,423.47
December	14.22%	\$17,086,302.91
January	14.22%	\$17,086,302.91
February	15.40%	\$21,887,890.53
March	15.40%	\$21,887,890.53
April		
May		
June		
July		
August		
September		



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**Division of Procurement & Contracts (DPAC)
Commitment at Award Monthly Dashboard
October 1, 2023 – March 31, 2024**

Table 15: Year-to-Date: SED Group Percentage Change (DPAC)

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	20.79%	\$5,288,666.60
November	21.48%	\$8,688,666.83
December	21.59%	\$38,323,613.89
January	21.62%	\$41,403,613.89
February	22.35%	\$55,712,305.09
March	22.66%	\$61,872,822.95
April		
May		
June		
July		
August		
September		

**Division of Transportation Planning (DOTP)
Commitment at Award Monthly Dashboard
October 1, 2023 – March 31, 2024**

Table 16: Year-to-Date: SED Group Percentage Change (DOTP)

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	18.18%	\$34,665.00
December	18.18%	\$34,665.00
January	18.18%	\$34,665.00
February	18.18%	\$34,665.00
March	18.18%	\$34,665.00
April		
May		
June		
July		
August		
September		



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**Design Build (DB) & Contract Management General Contracting (CMGC)
Commitment at Award Monthly Dashboard
October 1, 2023 – March 31, 2024**

Table 17: Year-to-Date: SED Group Percentage Change (DB/CMGC)

Month	YTD DB/CMGC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	20.31%	\$14,726,239.67
December	20.31%	\$14,726,239.67
January	20.31%	\$14,726,239.67
February	20.31%	\$14,726,239.67
March	20.31%	\$14,726,239.67
April		
May		
June		
July		
August		
September		

DBE Primes Utilized on all awarded contracts 10/1/2023 – 3/31/2024

Below is a list of the awarded DBE primes for DLA, DOTP, OE, and DPAC.
DB/CMGC has no DBE Primes.

Table 18: List of DBE Prime Contractors

DBE Name	# of Contracts	Total Amount of All Contracts	Ethnicity	Gender
AGEE CONSTRUCTION CORPORATION	1	\$2,979,097.00	Native American	Female
ALFARO COMMUNICATIONS CONSTRUCTION, INC.	3	\$23,918,926.34	Hispanic American	Male
DEAN RYAN CONSULTANTS & DESIGNERS, INC.	1	\$2,275,000.00	Hispanic American	Female
DIRT DYNASTY INC	1	\$4,508,592.50	Hispanic American	Male
DIVERSCAPE, INC. DBA DIVERSIFIED LANDSCAPE CO.	1	\$3,624,967.20	Non-Minority	Female
MCCULLOUGH CONSTRUCTION INC	1	\$11,390,269.44	Native American	Male
POLO ENGINEERING	1	\$2,398,430.60	Hispanic American	Female
TSI ENGINEERING, INC.	1	\$20,375,786.00	Subcontinent Asian American	Male
WENDELL JOHN GRAY	1	\$382,153.26	Native American	Male
Total	11	\$71,853,222.34		



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Additional Resources and Training

FHWA Video Goal Setting

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=84>

FHWA Video- Evaluating Good Faith Efforts

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=85>

US DOT Official Questions and Answers on the DBE Program

[https://www.transportation.gov/sites/dot.gov/files/docs/Official Questions and Answers 49 CFR Part 26 1.pdf](https://www.transportation.gov/sites/dot.gov/files/docs/Official_Questions_and_Answers_49_CFR_Part_26_1.pdf)