

Office of Project Management Oversight

Project End Date (PED) Extension Tool



Chapter 3 Project Authorization

Contents

- 3.1 Introduction.....1
- 3.2 Prior to Federal Authorization2
- 3.3 Request for Authorization3
 - Preliminary Engineering3
 - At-Risk Preliminary Engineering.....3
 - Preliminary Engineering Phases Over Ten Years5
 - Policy5
 - Local Public Agency.....5
 - Time Extension Requests6
 - Intelligent Transportation Systems7
 - Right of Way7
 - Right of Way Utility Relocations8
 - Construction and Construction Engineering8
 - Non-Infrastructure Projects9
 - Project End Date**.....10
 - Simultaneous Submittal of Allocation/Authorization Requests.....11

PED Background

- The PED is the final date when the recipient may perform work to be allowable for reimbursement on a federally funded project. The project end date should be selected based upon the schedule of the scope of work under agreement, not the completion of the entire “project” if it will be authorized in multiple, time and action controlled steps (e.g., PE, ROW, or construction phases, or a project carried out under multiple contracts).

- Caltrans Local Assistance Procedures Manual: <https://dot.ca.gov/-/media/dot-media/programs/local-assistance/documents/lapm/ch03.pdf>



Existing PED Lapse Tool

The look ahead report lists projects with (i) expired PED, (ii) PED to expire within the next three months, (iii) PED to expire within the next 6 months and (iv) PED to expire in more than 6 months but with lapses in the past. The purpose of this list is to alert local agencies of expired or expiring PEDs, so they can initiate PED extension requests where necessary and/or contact DLAEs for further assistance. This list is updated monthly.

[Projects with expiring Project End Dates](#)

Reset All Filters

Responsible Agency	RTPA	MPO	District	County	AMS Adv Code	SEQ Lapse	E-76 Status	Funding Source	Admin By	Legacy
Agoura Hills	Alpine County Transportation Com...	AMBAG	1 2 3	Alameda County	2W 3A 7A	Yes (Full)	Pend HQ	ER	Local	Legacy
Alameda	Amador County Transportation Co...	BCAG	4 5 6	Alpine County	7C 7D 7DP	Yes (Partial)	Pend FHWA	Non-ER	State	Non-Legacy
Alameda County	Butte County Association of Gover...	CT-ADMIN	7 8 9	Amador County	7M 7R 8A13	(blank)	Approv			

Total Projects by Responsible Agency

■ NA-Closing ■ Current ■ Expired

Arcata (D1)	0	0	0
Crescent City (D1)	0	0	0
Del Norte County (D1)	0	0	0
Eureka (D1)	0	0	0
Humboldt County (D1)	0	0	0
Lake County (D1)	0	0	0
Lakeport (D1)	0	0	0
Mendocino County (D1)	0	0	0

Current PED Status (Total Number of Projects)

2,876

83% Current, 13% Expired, 4% NA-Closing

PED By Expiration Group

PED Expired	358
PED 0 to < 3 mos	71
PED 3 to < 6 mos	174
PED 6+ mos	1,969
No PED Establish...	183
NA-Closing	121

Monitoring Class

Active-Progressing	2,670
Active-"Fin" Invoice	11
Active-Dist "Final"	74
Closing-Acct Final	97
Closing-Vouchered	15
Close-FED Part Cmpl	3
Close-Re-Open Adj	6

PED By Phase

CON Auth	1,484
RW Auth	350
PE Auth	913
PE Auth-Other	129

Lapse Occurences Per Project

1 Lapse	640
2 Lapses	125
3 Lapses	26
4 Lapses	8
5 Lapses	3
No Lapses	2,074

Instruction

Show

PDF

PED Extension Tool

The intent of this process is to provide a streamlined process for Local Public Agencies (LPAs) to request extensions for PEDs on existing projects. This tool will be used to simplify the PED Extension process for LPAs and allow the Office of Project Management Oversight (OPMO) to track/monitor project statuses.

LPAs may request a PED Extension using this form without completing a LAPM 3-A: Project Authorization/Adjustment Request.



PED Extension Process

1. Projects with PED expiration or set to expire in next 6 months are identified using PED tool.

2. Smartsheet tool is completed by LPA to provide update on project with extension request and justification.

3. PED Extension request is reviewed and submitted to FHWA by OPMO.

4. PED Extension is approved by FHWA and revised PED is updated in PED tool, and other databases.

PED Extension Requests and Responses are tracked throughout the Process in Smartsheets.



PED Extension Justification

Appropriate justification must be provided.

- Litigation
- Major changes in design
- Environmental or permit issues
- Construction claims
- Differing site conditions
- Significant additional work
- Area-wide material shortages
- Labor strikes
- Other events which are outside the control of the LPA.



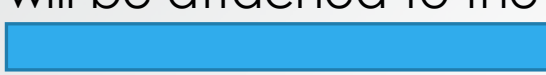
PED Extension Tool Inputs

LPAs should provide the Estimated Phase completion Date. The PED will be established by adding 12 months to the Estimated date provided by the LPA.

The individual completing the request must certify the justification and proposed Completion Date proposed in the form are valid, and the extension request is necessary.



PED Extension Tool Inputs

A pdf of the extension request will be generated which will be attached to the request to FHWA 

OPMO:

- Review the request
- Create a new sequence in FADS
- Update the Finance letter to match the new PED in FADS.
- Submit the E76 to FHWA for approval

Districts will be copied on all PED Extension Request correspondence.



DIVISION OF LOCAL ASSISTANCE

PROJECT END DATE (PED) EXTENSION REQUEST

District	
Local Public Agency	
Project Number	
Name	
Email	
Phone Number	
Is this an ER Project?	
Are you requesting a PED Extension?	
Reimbursable Work Status	
Current Approved PED	
Estimated Phase Completion Date	
New PED Date	
Justification for PED Extension	
Expanded Justification for PED Extension	
Certification	Certifies this Request
OPMO Reviewer	

Questions?

Email **OPMO** (Project.End.Dates@dot.ca.gov)
with inquiries/questions.